

GLOBE CAPITAL MARKET LIMITED
Central KYC Registry | Know Your Customer(KYC) Application | Individual
INDEX - Equity
MANDATORY FORM AS PRESCRIBED BY SEBI & EXCHANGES

| S.No | Name of the Document | Brief Significance of the Document | Page No. |
|------|----------------------|---|----------|
| 1 | Account Opening Form | A. KYC form - Document captures basic information about the constituents and an instruction/checklist. (To be filled by customer) | 3-4 |
| | | B. Document captures the additional information for Trading & Demat Account) | 5-11 |
| 2 | Tariff sheet | Document detailing the demat fee schedule & rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s). | 12-13 |

VOLUNTARY FORM AS PROVIDED BY THE STOCK BROKER

| S.No | Name of the Document | Brief Significance of the Document | Page No. |
|------|--------------------------------------|---|----------|
| 1 | General Authorization | General Authorization by client | 14-21 |
| 2 | PCD | Participants Category disclosure | 22 |
| 3 | Mutual Fund Service | Letter for NSE MFSS / BSE STAR | 24-30 |
| 4 | Term and Condition-cum -Registration | Format for receiving SMS alerts from CDSL | 31-34 |
| 5 | Acknowledgement | Acknowledgement and office use copy | 35 |

Globe Capital Market Limited

Equity SEBI Registration No INZ000177137, Exchange Registration Nos NSE : TM No-06637, Clearing No-M50302 BSE : Clearing No-3179, MSEI : TM Code-1004, Depository Participant : IN-DP-614-2021
MCX TM No : 8091, Clearing No :8090, NCDEX TM No :1287, Clearing No : M51085, ICEX TM ID : 2084

Registered Office Address

609, Ansal Bhawan, 16, K.G. Marg, Connaught Palace, New Delhi-110001
Phone: 011-30412345 | Fax: 011-23720883 | Email : customercare@globecapital.com | investor_trading@globecapital.com
| globedp@globecapital.com

Corporate Office

609, Ansal Bhawan, 16, K.G. Marg, Connaught Palace, New Delhi-110001 | Phone: +91-011-30412345
Phone: 011-30412345 | Fax: 011-23720883

Depository Office

804, ANSAL BHAWAN, 16 K.G MARG, CONNAUGHT PLACE, NEW DELHI-110001
Phone: 011-43666400 | Fax: 011-23712630

Compliance Officer Details :

Name : Mr. Gautam Gupta
Phone No. : 011-30412345
E-mail Id : compliance@globecapital.com

DP Compliance Officer Details :

Name : Preeti Gupta
Phone No. : 011-43666400
E-mail Id : globedp@globecapital.com

CEO Details :

Name : MR. YASHPAL MENDIRATTA
Phone No. : 011-30412345
E-mail Id : ceo@globecapital.com

For any grievance/dispute please contact Globe Capital Market Limited(GCML). at the above address or email id: igr@globecapital.com and Phone No. 011-30412345. In case not satisfied with the response, please contact the concerned exchange(s) at :


| Exchange | Web Address | Contact No. | Email-Id |
|----------|---|--------------|---|
| NSE | www.nseindia.com | 1800220058 | ignse@nse.co.in |
| BSE | www.bseindia.com | 022-22728097 | is@bseindia.com / iscdelhi@bseindia.com |
| MSEI | www.msei.in | 022-61129028 | investorcomplaints@msei.in |
| MCX | http://www.mcxindia.com | 022-67318888 | grievance@mcxindia.com |
| NCDEX | http://www.ncdex.com | 022-66406789 | ig@ncdex.com |
| ICEX | https://www.icexindia.com | 022-40381546 | grievance@icexindia.com |
| CDSL | www.cdslindia.com | 022-22723333 | complaints@cdslindia.com |
| NSDL | www.nsdl.co.in | 022-24994200 | relations@nsdl.co.in |

You can also lodge your grievances with SEBI at [http:// scores.gov.in](http://scores.gov.in) For any queries, feedback or assistance, please contact SEBI office or toll free Helpline at 1800-22-7575 / 1800-266-7575

Filing of complaints on SCORES – Easy & quick

1. Register on SCORES portal
2. Mandatory details for filing complaints on SCORES:
 - i. Name, PAN, Address, Mobile Number, Email ID
3. Benefits:
 - i. Effective communication
 - ii. Speedy redressal of the grievances

SCORES website::<https://scores.gov.in/scores/Welcome.html>

| 1. Personal Details | | | |
|--|---|------------|---|
| Name* | SWARAJ SHAILESH DARNE | |  |
| Maiden Name (If any*) | | | |
| Father/Spouse Name* | SHAILESH DARNE | | |
| Mother Name* | | | |
| Date of Birth* | 09/12/1998 | | |
| Gender* | Male | | |
| Marital Status* | Unmarried | | |
| Citizenship* | Indian | | |
| Residential Status* | Resident Individual | | |
| Occupation Type* | PUBLIC SECTOR / GOVERNMENT SERVICE | | |
| 2. Proof of Identity | | | |
| PAN* | CVPPD2719F | | Latitude: 19.16, Longitude: 73.08 |
| AADHAAR* | | | |
| 3. Proof of Address | | | |
| Address Type* | RESIDENTIAL/BUSINESS | | |
| Proof of Address* | AADHAAR | | |
| Correspondence Address | | | |
| Address Line 1* | N 202 VRINDAVAN C H S PLOT NO 52 SECTOR 9 KHANDA | | |
| Address Line 2 | COLONY HAWARE COMPLEX PANVEL RAIGARH MAHARASHTRA 410206 | | |
| Address Line 3 | | | |
| City/Town/Village* | RAIGARH | | |
| State* | Maharashtra | | |
| Country* | India | | |
| Pincode* | 410206 | | |
| Permanent Address | | | |
| Address Line 1* | N 202 VRINDAVAN C H S PLOT NO 52 SECTOR 9 KHANDA | | |
| Address Line 2 | COLONY HAWARE COMPLEX PANVEL RAIGARH MAHARASHTRA 410206 | | |
| Address Line 3 | | | |
| City/Town/Village* | RAIGARH | | |
| State* | Maharashtra | | |
| Country* | India | | |
| Pincode* | 410206 | | |
| 4. Contact Details | | | |
| Tel.(Off.) | | Tel.(Res.) | |
| Mobile No.* | 8286149597 | Fax | |
| Email ID* | swarajdarne.srj@gmail.com | | |
| 5. EMUL | | | |
| I hereby declare that the aforesaid mobile number belongs to | | SELF | |
| I hereby declare that the aforesaid E-mail ID belongs to | | SELF | |

6. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it. I am aware of other modes of KYC which are available and I have chosen Aadhaar based method voluntarily. My Aadhaar record can be used by KRA agencies only for the specific purpose validating/ maintaining/ sharing my KYC record and as an audit evidence. I will have an option to request for deleting of my Aadhaar record. I hereby give my consent for receiving information including Central KYC Registry through SMS/Email on the above registered mobile number/email address. I am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Dig locker XML file, along with pass code and as applicable, with SEBI, KRA, CKYC and other Institutions/ agencies/ Intermediaries with whom I have a business relationship for KYC purposes only.

Date _____ **Place** _____

7. Attestation/For Office use only

| Document Received | | | | | |
|----------------------------------|------------------------------------|--|---------------|--|------------------------------------|
| Intermediary/Institution Details | | In-person verification (IPV), Documents verified with original & Client interviewed by | | | |
| Name | Globe Capital Market Limited(GCML) | Employee/SB/AP name | ASHWANI KUMAR | SEBI Number of AP (If Applicable) | Globe Capital Market Limited(GCML) |
| | | Employee ID | G00547 | Designation | Executive |
| | | CKYC Institution Code | IN0116 | Signature | esigned |

| 8. Bank Account Details | | | | | |
|--|--|------------------|------------------------|-----------------------|-------------|
| Primary Bank Details (For DP and Trading) | | | | | |
| Bank Name | STATE BANK OF INDIA | | | | |
| Account No. | 35018779076 | Branch | NEW PANVEL WEST BRANCH | | |
| IFSC | SBIN0015664 | MICR Code | | | |
| Address | 1ST FLOOR, HARMONY CHS, PLOT NO.1, SECTOR 6, NEW PANVEL WEST , TAL. PANVEL, DIST. RAIGAD, MAHARASHTRA 410206 | | | | |
| Account Type | Savings | | | | |
| 9.1 Depository Account Details | | | | | |
| I/We already have Demat A/c | | | | | |
| Depository Name | | | | | |
| DP ID | | BO ID | | | |
| Name of Depository Participant | | | | | |
| 9.2 Depository Account(s) Details | | | | | |
| Affiliated by | Accounting Software Name | Beneficiary Name | CP ID | Beneficiary ID(BO ID) | |
| NCDEX | NERL | | | | |
| MCX | COMRIS/CCRL | | | | |
| ICEX | CCRL/EDCM/NERL | | | | |
| 10. Trading Preference | | | | | |
| Please sign in the relevant boxes where you wish to trade. | | | | | |
| Exchange | Segment | | | | |
| | Cash | F&O* | Currency* | Commodity* | Mutual Fund |
| NSE | sign here | | | | sign here |
| BSE | sign here | | | | sign here |
| MSEI | sign here | | | | |
| MCX | | | | | |
| NCDEX | | | | | |
| ICEX | | | | | |
| *If you wish to trade in derivatives (F&O/Currency/Commodity) segments, submit proof of financial details(Mandatory) | | | | | |

| | | | |
|--|------------------|--|----|
| 11. FACILITIES | | | |
| SMS and E-mail alerts from stock exchanges/depository | | BOTH | |
| Whether you wish to receive Contract Note & Standard documents in physical or electronic mode | | ELECTRONIC | |
| *Standard Documents Rights & Obligations, Risk Disclosure Document, Guidance Note and Policies & Procedures, other terms and conditions | | | |
| I/We wish to avail facility of internet trading / wireless technology | | YES | |
| Application Base Trading | Web Base Trading | Securities Trading using Wireless Technology | |
| 12. Trading History | | | |
| Trading Experience / Number of Years of Investment | | 0 | |
| Stock Profile | | NIL | |
| Details of disputes / dues pending from / to such stock broker / Authorize person In case dealing with multiple stock brokers, please provide details of all | | NOT AVAILABLE | |
| Client Code(UCC) | NA | Exchange | NA |
| 13. Income, Education & Others Details | | | |
| Gross Annual Income Detail | | 1-5 LAC | |
| Net-worth in | | As on(date) | |
| Educational Details | | Under Graduate | |
| Politically Exposed Person/Related to a Politically Exposed Person | | NO | |
| Is the entity involved providing any of the following services | | | |
| - For Foreign Exchange / Money Changer Services | | NO | |
| - Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) | | NO | |
| - Money Lending / Pawning | | NO | |
| FATCA & CRS Declaration – Individuals | | | |
| Residence for Tax Purposes In Jurisdiction(s) Outside India* | | NO | |
| Country of Jurisdiction of Residence* | | | |
| Tax Identification Number or Equivalent(If issued by jurisdiction)* | | | |
| Place/City of Birth* | | | |
| Country of Birth* | | | |
| PAST ACTIONS | | | |
| Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant / constituent or its authorized persons in charge of dealing in securities during the last 3 years | | NO | |
| DEALINGS THROUGH Authorize person : | | | |
| If client is dealing through the Authorize person , provide the following details: Authorize person's Name:_____ SEBI Registration number:_____ Registered office address & Contact Details:_____ | | | |
| DEALINGS THROUGH OTHER STOCK BROKERS/Authorize person | | | |
| •whether dealing with any other stock broker/Authorize person(in case dealing with multiple stockbroker/Authorize person, provide details of all) : Name of Stock Broker:_____ Name of Authorize person,if any:_____ ClientCode:_____ Exchange:_____ | | | |
| •Details of disputes/dues pending from/to such stock broker/Authorize person:_____ | | | |
| Declaration: | | | |
| 1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, Iam/we are aware that I/we may be held liable for it. 2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker, tariff sheet and all voluntary/non - mandatory documents. 3. I/We further confirm having read and understood the contents of the Rights and Obligations', Policies & Procedures document(s) and 'Risk Disclosure Document', 'Do's and Don'ts. I/we do hereby agree to be bound by such provisions as outlined in these documents, I/we have read, understood, agreed and received a duly executed copy of the:- • Account Opening Form, Trading Account Related Details and Tariff Sheet * Other disclosure/documents as agreed by me/us specifically in voluntary segment. • Terms & Conditions as mutually agreed by me/us • FATCA & CRS Terms & Conditions * Option Consent Letter • other disclosure/documents as agreed by me/us specifically in voluntary segment. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any. | | | |
| Signature of Client | | | |

| | | | |
|--|-----------------------|--|--|
| 14. DETAIL OF INTRODUCER | | | |
| Name | | | |
| Registration No.or Code | | | |
| PAN | | | |
| Phone | | | |
| Address | | | |
| 15. Demat Account Opening - NSDL | | | |
| DP ID : IN300966 | Client ID : | Client UCC Code : | |
| I/We request to open a Depository Account in my/our name as per the following details. Date : | | | |
| 15a. Type of Account | | | |
| Status | Resident Individual | Sub-status | Individual Resident |
| 15b. Account Holder Details | | | |
| Name of sole/1st Holder | SWARAJ SHAILESH DARNE | | |
| UID | | PAN | CVPPD2719F |
| Nationality | Indian | | |
| 15c. NSDL - Additional Detail | | | |
| I/We authorise you to receive credits automatically into my/our account | | | YES |
| Client option to receive e-statement | | | YES |
| Receive Annual Reports,AGM notices and other communication from Issuer & RTA in Electronic form | | | YES |
| Account to be opened through DDPI | | | NO |
| SMS Alert facility | | | YES |
| For joint accounts communication to be sent to | | <input type="checkbox"/> First Holder | <input type="checkbox"/> All Joint Account Holders |
| Mode of Operation for Joint Accounts (*see notes) | | | |
| <input type="checkbox"/> Jointly | | <input type="checkbox"/> Anyone of the holder or survivor(s) | |
| If Mode of Operation for Joint Account is choosen as anyone of the holder or survivor(s), only specified operation such as transfer of securities including Inder-Depository Transfer pledge/ hypothecation/ margin pledge/ margin re-pledge (creation, closure and invocation and confirmation therof as applicable) of securities and freeze/unfreeze of accountand/ or securities and / or specific number of securities will be pemitted. | | | |
| 15d. Declaration | | | |
| I/We acknowledge the receipt of copy of document, "Rights & Obligations of the Beneficial Owner and Depository Participant". The rules and regulations of the Depository and Depository Participants pertaining to an account are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. | | | |
| | Sole / First Holder | Second Holder | Third Holder |
| Signature | | NOT APPLICABLE | NOT APPLICABLE |

| | | | |
|--|--|--|---|
| 15. Demat Account Opening - CDSL | | | |
| DP ID : 12020600 | Client ID : | Client UCC Code : | |
| I/ We request to open a Depository Account in my / our name as per the following details. Date : | | | |
| 15a. Type of Account | | | |
| Status | Resident Individual | Sub-status | Individual Resident |
| 15b. Account Holder Details | | | |
| Name of sole/1st Holder | NA | | |
| UID | NA | PAN | NA |
| Nationality | NA | | |
| 15c. CDSL - Additional Detail | | | |
| SMS Declaration | NA | | |
| DIS Booklet | NA | | |
| I/we instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes') | NA | | |
| I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. | NA | | |
| I/We request you to send Electronic Transaction-cum-Holding Statement at the you registered E-Mail | NA | | |
| I would like to share the email ID with the RTA | NA | | |
| I would like to receive the Annual Report | <input type="checkbox"/> Physical | <input type="checkbox"/> Electronic | <input type="checkbox"/> Both Physical & Electronic |
| I/we wish to receive dividend/Interest directly in to my/our bank account as given below through ECS. (If not marked, the default option would be 'YES') (ECS is mandatory for locations notified by SEBI from time to time) | NA | | |
| Account statement requirement | NA | | |
| Easi | To register for easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online. | | NO |
| Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure B | To register for easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online. | | Yes/No |
| Stock Exchange Name/ID | Clearing Member Name | | Clearing Member ID (Optional) |
| For joint accounts communication to be sent to | <input type="checkbox"/> First Holder | <input type="checkbox"/> All Joint Account Holders | |
| Mode of Operation for Joint Accounts (*see notes) | | | |
| <input type="checkbox"/> Jointly | | <input type="checkbox"/> Anyone of the holder or survivor(s) | |
| If Mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operation such as transfer of securities including Inter-Depository Transfer pledge/ hypothecation/ margin pledge/ margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and/ or securities and / or specific number of securities will be permitted. | | | |
| 15d. Declaration | | | |
| I/We acknowledge the receipt of copy of document, "Rights & Obligations of the Beneficial Owner and Depository Participant". The rules and regulations of the Depository and Depository Participants pertaining to an account are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. | | | |
| | Sole / First Holder | Second Holder | Third Holder |
| Signature | | NOT APPLICABLE | NOT APPLICABLE |

| 16. Nominee Form for Trading and Demat Account | | | |
|---|--|----------------|----------------|
| I/We the Sole Holder / Joint Holder / Guardian (in case of minor) hereby declare that : | | | |
| | | | |
| UCC/DP ID | | | |
| Client ID (only for Demat account) | | | |
| Sole/First Holder Name | SWARAJ SHAILESH DARNE | | |
| Second Holder Name | NA | | |
| Third Holder Name | NA | | |
| <input checked="" type="checkbox"/> Opting out of nomination - I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account. | | | |
| | Sole / First Holder | Second Holder | Third Holder |
| Signature | | NOT APPLICABLE | NOT APPLICABLE |
| | | | |
| <input type="checkbox"/> I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my/our death | | | |
| 1st Nominee Details | | | |
| 1 | Name of Nominee : Mr./Ms./Mrs. | NA | |
| 2 | Share of each Nominee (If not equally, please specify percentage) | | |
| 3 | Relationship with the Applicant (If any) | | |
| 4 | Address of Nominee | | |
| | Address Line 1* | | |
| | Address Line 2 | | |
| | Address Line 3 | | |
| | City/Town/Village* | State* | |
| | Country* | Pincode* | |
| 5 | Mobile / Telephone No. of nominee(s) # | | |
| 6 | Email ID of nominee(s) # | | |
| 7 | Nominee Identification details # [Please tick any one of following and provide details of same] Photograph & Signature/ PAN/ Aadhaar/ Saving Bank account no./ Proof of Identity/ Demat Account ID | | |
| 8 | Date of Birth {in case of minor nominee(s)} | | |
| | Should be filled only if nominee(s) is a minor: | | |
| 9 | Name of Guardian (Mr./Ms.) {in case of minor nominee(s) } | | |
| 10 | Address Line 1 | | |
| | Address Line 2 | | |
| | Address Line 3 | | |
| | City/Town/Village* | | |
| | State* | | |
| | Country* | Pincode* | |
| 11 | Mobile of Guardian # | | |
| 12 | Email of Guardian # | | |
| 13 | Relationship of Guardian with Nominee | | |
| 14 | Guardian Identification details # [Please tick any one of following and provide details of same] Photograph & Signature/ PAN/ Aadhaar/ Saving Bank account no./ Proof of Identity/ Demat Account ID | | |
| | Sole / First Holder (Mr./Ms.) | | |
| | Signature(s) of holder* | | |

| 2nd Nominee Details | | | |
|---------------------|--|----------|--|
| 1 | Name of Nominee : Mr./Ms./Mrs. | NA | |
| 2 | Share of each Nominee (If not equally, please specify percentage) | | |
| 3 | Relationship with the Applicant (If any) | | |
| 4 | Address of Nominee | | |
| | Address Line 1* | | |
| | Address Line 2 | | |
| | Address Line 3 | | |
| | City/Town/Village* | State* | |
| | Country* | Pincode* | |
| 5 | Mobile / Telephone No. of nominee(s) # | | |
| 6 | Email ID of nominee(s) # | | |
| 7 | Nominee Identification details # [Please tick any one of following and provide details of same] Photograph & Signature/ PAN/ Aadhaar/ Saving Bank account no./ Proof of Identity/ Demat Account ID | | |
| 8 | Date of Birth {in case of minor nominee(s)} | | |
| | Should be filled only if nominee(s) is a minor: | | |
| 9 | Name of Guardian (Mr./Ms.) {in case of minor nominee(s) } | | |
| 10 | Address Line 1 | | |
| | Address Line 2 | | |
| | Address Line 3 | | |
| | City/Town/Village* | | |
| | State* | | |
| | Country* | Pincode* | |
| 11 | Mobile of Guardian # | | |
| 12 | Email of Guardian # | | |
| 13 | Relationship of Guardian with Nominee | | |
| 14 | Guardian Identification details # [Please tick any one of following and provide details of same] Photograph & Signature/ PAN/ Aadhaar/ Saving Bank account no./ Proof of Identity/ Demat Account ID | | |
| | Sole / First Holder (Mr./Ms.) | | |
| | Signature(s) of holder* | | |

| 3rd Nominee Details | | | |
|---------------------|--|----------|--|
| 1 | Name of Nominee : Mr./Ms./Mrs. | NA | |
| 2 | Share of each Nominee (If not equally, please specify percentage) | | |
| 3 | Relationship with the Applicant (If any) | | |
| 4 | Address of Nominee | | |
| | Address Line 1* | | |
| | Address Line 2 | | |
| | Address Line 3 | | |
| | City/Town/Village* | State* | |
| | Country* | Pincode* | |
| 5 | Mobile / Telephone No. of nominee(s) # | | |
| 6 | Email ID of nominee(s) # | | |
| 7 | Nominee Identification details # [Please tick any one of following and provide details of same] Photograph & Signature/ PAN/ Aadhaar/ Saving Bank account no./ Proof of Identity/ Demat Account ID | | |
| 8 | Date of Birth {in case of minor nominee(s)} | | |
| | Should be filled only if nominee(s) is a minor: | | |
| 9 | Name of Guardian (Mr./Ms.) {in case of minor nominee(s) } | | |
| 10 | Address Line 1 | | |
| | Address Line 2 | | |
| | Address Line 3 | | |
| | City/Town/Village* | | |
| | State* | | |
| | Country* | Pincode* | |
| 11 | Mobile of Guardian # | | |
| 12 | Email of Guardian # | | |
| 13 | Relationship of Guardian with Nominee | | |
| 14 | Guardian Identification details # [Please tick any one of following and provide details of same] Photograph & Signature/ PAN/ Aadhaar/ Saving Bank account no./ Proof of Identity/ Demat Account ID | | |
| | Sole / First Holder (Mr./Ms.) | | |
| | Signature(s) of holder* | | |

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

17. Declaration

1. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker, tariff sheet and all voluntary/non-mandatory documents.
3. I/We further confirm having read and understood the contents of the Rights and Obligations', Policies & Procedures document(s) and 'Risk Disclosure Document', 'Do's and Dont's'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/we have read, understood, agreed and received a duly executed copy of the:- • Account Opening Form, Trading Account Related Details and Tariff Sheet • Other disclosure/documents as agreed by me/us specifically in voluntary segment. • Terms & Conditions as mutually agreed by me/us • FATCA & CRS Terms & Conditions • Option Consent Letter • Other disclosure/ documents as agreed by me/us specifically in voluntary segment. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
4. I/We also give my consent to download my KYC records from the Central KYC Registry(CKYCR) /KYC registration Agency(KRA), only for the purpose of verification of my Identity and address from the database of CKYCR Registry/KRA. I understand that my KYC records/Personal information such as my name, address, date of birth, PAN etc.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

| 18. Brokerage Structure . | |
|---------------------------|---|
| Brokerage Scheme Code | PRIMERM |
| Segment Type | |
| Margin Amount | 0 |
| Advance Brokerage | 0 |
| Segment | Brokerage Scheme |
| Equity Cash-Intraday | 0.05 % of Turnover or 5 paisa per share, which ever is Higher |
| Equity Cash-Delivery | 0.50 % of Turnover or 5 paisa per share, which ever is Higher |
| Equity F&O Future | 0.05 % of Turnover or 5 paisa per share, which ever is Higher |
| Equity F&O Option | 100 Rs per lot or 0.50 % of Turnover, which ever is Higher |
| Currency Future | 0.05 % of Turnover or 5 paisa per share, which ever is Higher |
| Currency Option | 20 Rs per lot or 0.50 % of Turnover, which ever is Higher |
| Commodity Future | 0.05 % of Turnover or 5 paisa per share, which ever is Higher |
| Commodity Option | 100 Rs per lot or 0.50 % of Turnover , which ever is Higher |
| Delivery | 1.00 |
| Minimun per Share | 0.05 |
| Mutual Fund | |

Note: Important Note : In case of Physical Contract notes or other related documents being dispatched to client, a difference of Rs.25/- +GST in total brokerage booked on a particular contract would be charged to ward minimum processing fee. Delay payment charges @2% per month would be charged for debit/shortage in payin/ margin default, as per exchange requirements. Depository/Pledge charges for the movement of shares between pool/beneficiary/margin account for payin/payout or margin purpose will be charged. Transaction charges/ Clearing Charges/other statutory charges/ courier/ administration/ processing charges will be charged as applicable. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable. One time processing fee Rs.50/- per segment and DDPI charges Rs. 100/- shall be charged. Globe reserves the rights to change the Brokerage as well as other tariff from time to time under intimation to client.

19. Demat Account Maintenance Charges

| | | | |
|---|---------------|--|--|
| AOC Scheme Name | Regular (399) | | |
| Promo Code | | | |
| POWER OF ATTORNEY (POA) | | | |
| AMC CYCLE-FOUR QUARTER (1 YEAR) | | | |
| CHECK EKYC FOR DETAILED CHARGE | | | |
| AMC Rs. 399 is For 1st quarter, remaining 3 quarters are FREE, AMC cycle is "4 quarters (1 Year)" | | | |

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

| 20. Demat Account Tariff | | |
|---|-------------------------------|--|
| TRANSACTION CHARGES | | |
| Delivery | Market Transaction | Rs.20/- per instruction or 0.02% of transaction value, whichever is higher, subject to maximum of Rs.100/- |
| | Off Market Transaction | Rs.25/- per instruction or 0.03% of transaction value, whichever is higher, subject to maximum of Rs.1000/- |
| Pledge Creation/Closure/Invocation | | Rs.50/- per instruction or 0.02% of transaction value, whichever is higher |
| Demat Charges | | Rs.50/- for up to first hundred and after first hundred Rs.10/- for every hundred securities or part thereof or a flat fee Rs.10/- per certificate whichever is higher plus courier charges of Rs.40 for every 25 certificate and part thereof |
| Remat Charges | | Rs.100/- for up to first hundred and after first hundred Rs.30/- for every hundred securities or part thereof or a flat fee Rs.30/- per certificate whichever is higher |
| Mutual Funds (Debit / Redemption) | | Rs.50/- per instruction plus courier charges |
| Instruction Booklet | | Rs.2/- per leaf plus courier charges |

1. The Depository Participant shall reserve the right to revise the charges by giving not less than 30 days notice in writing to the client.
2. Value of Transaction will be in accordance with the Depository formulae
3. Modification in client detail, 40/- per instance. Maintenance charges will be charged in advance at the time of opening the account
4. Any service not listed above will be charged extra
5. Rejection charges 50/- per rejection plus courier charges
6. Slip Rejection/Fail charges 20/- per rejection
7. Off-Market Instruction not received prior to 24 hours of execution will be received at client's risk
8. Instruction for Pay-In of securities to transfer from client account to CM Pool account, Inter settlement instruction, CM Pool to CM Pool transfer and delivery instruction will be accepted up till 4:00 PM on working day prior to Pay-in date.
9. Periodic statement free on Email and Rs 40 towards courier charges if sent physically
10. Non-periodic statement shall be charged @ 5/- per page and postage/courier charge @ Rs 40 or actual charges whichever is higher.
11. In case of non-payment of bill/dues within 30 days of due date, interest shall be charged @ 1.0% per month on the outstanding dues.
12. Rs 100 will be charged to client in case of New Delivery Instruction Booklet is requested without requisition slip.
13. All charges are exclusive of GST. Taxes and other Government levies will be charges extra as applicable from time to time.
14. Settlement fees charged by NSDL : Rs. 4/-

| Client Signature | | | |
|-------------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Authorisation for maintaining Running Account

Date:

To,

Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Authorisation for maintaining Running Account

Dear Sirs,

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Commodity segment and/or Interest Rate future Segment and/or Mutual Fund Segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
2. I request you to settle my funds.
☒ Once in a 90 days
or
☐ Once in a 30 days

or such other period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.

3. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds/Mutual Fund Units towards such obligations and may also retain the funds as calculated in the manner specified by the exchanges.
4. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 30 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case maybe at your registered office.

The running account authorization provided by me shall continue and remain valid until it is revoked by me anytime in writing.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

GENERAL AUTHORITY

Date:

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Letter of Authority

Dear Sirs,

I/we dealing with you as client at NSE/BSE/MSEI/MCX/NCDEX/ICEX in Capital, Derivative,Commodity & Currency Derivative Segment and in order to facilitate ease of operations, I/We authorise you as under :

1. I/We authorise you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchange and/or against the value of cash margin or collateral shares provided to you by me/us.
2. I/We hereby authorise you not to provide me/us Order Confirmation/ Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
3. I/We hereby authorise you to keep all securities/Commodities which I/We have given you in margin including the payout securities and commodities received by us for meeting margin/order obligation in any of the stock exchanges/clearing house/clearing corporation in whatever manner which may include pledging of securities/Commodities in favor of bank and/or taking loan against the same or meeting margin/pay-in obligation on my/our behalf or for giving the same as margin to any of the stock exchanges/ clearing house/clearing corporation or otherwise. Further, I/We shall when called upon to do so forthwith from time to time provide a Margin Deposit and/or furnish additional Margin as required under the Rules and Regulations in respect of the business done by me and/or as agreed upon by me with the Trading Member.
4. I/We request you to retain credit balance in any of my/our account and to use the unused funds towards my/our margin/future obligation at any or all the Exchanges unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charges from time to time, for keeping the shares in your client demat beneficiary account on my behalf. I/We also authorise you to debit the financial charges @2% p.m., for the debit balances or delay payment charges at the rate prescribed by exchange for shortage in margin/debit balances, if any, in my account and not settled as per the exchange requirements.
5. I/We request you to retain securities and commodities in your demat account for my/our margin/future obligations at all Exchanges, unless I/We instruct you to transfer the same to my/our account.
6. I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephonic unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
7. We request that you/exchange/other regulatory authority may send/dispatch us contract notes/e-mail alert/other documents through e-mail on my/our designated e-mail address mentioned by me/us in KYC. I/We will completely rely on the log reports of you dispatching software as a conclusive proof of dispatch of e-mail to me/us and will not dispute on the same. I/We note that non-receipt of bounced mail notification by the stock broker shall amount to delivery of the contract note at my/our e-mail ID.
8. I/We will inform you the change of my/our demographic detail and other detail like Bank, DP etc.
9. I/We are aware and acknowledge that trading of all exchanges is in Electronic mode, based on Vsat, lease line, ISDN, Modem, VPN, Internet and/or combination of technologies and computer system to place and route order and also involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, pay in payout of funds & securities, online & offline banking etc. these are susceptible to interruptions, delay, mistake and dislocations; and your services may at any time be unavailable without further notice and I/we understand that there exists a possibility of communication failure or system problems or slow or delay response from system or trading half, or any such other problem/glitch whereby not been able to establish access to the trading system/network or delay in execution of trades, which may be beyond your control any may result in delay in processing or not processing of any orders either in part or in full. I understand that you are not making any representation or warranty that your service will be available to the Client at all times without any interruption. I/We agree that I/We shall not have any claim for any loss incurred by me/us against you on account of any suspension, delay, interruption, nonavailability or malfunctioning of your System or Service for any reason whatsoever.
10. I/We confirm that I/We never sublet the trading terminal on any term of connectivity from my place to any other place without your prior approval.
11. I/We am/are agreeable for inter-settlement transfer of securities towards settlement.
12. I/we am/are agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.
13. All fines/penalties and charges levied upon you due to my acts / deeds or transaction may be recovered by you from my account.
14. I have a Trading As well as depository relationship with GLOBE CAPITAL MARKET LTD. Please debit the charges relevant with depository services to my trading account. I also agree to maintain the adequate balance in my trading account/ pay adequate advance fee for the said reason.

15. I authorise you to send me the physical Contract notes/Margin statement/Ledger/Other documents through postal/courier and to charge administrative/postal/other charges if the contract notes sent to me get bounced more than 5 times.

16. For the purpose of providing quality and transparent services to its clients, the Company may record your conversation while interacting with you

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

FIT AND PROPER PERSON DECLARATION

As per Regulation 19(1) of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 (SECC Regulations) which states that no person shall, directly or indirectly, acquire or hold equity shares of a recognised Stock Exchange or recognised Clearing Corporation unless he is a fit and proper person in terms of Regulation 19 and 20 of the said SECC Regulations.

Regulations 19 and Regulations 20 as prescribed by SEBI (herein referred as “Board”) are reproduced below:

A. Eligibility for acquiring or holding shares.

1. No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognized clearing corporation unless he is a fit and proper person
2. Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognized stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
3. A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognized stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
4. Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
5. If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
6. Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

B. Fit and proper criteria.

- For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—
 1. such person has a general reputation and record of fairness and integrity, including but not limited to:
 - i. financial integrity;
 - ii. good reputation and character; and
 - iii. honesty;
 2. such person has not incurred any of the following disqualifications—
 - i. the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
 - ii. an order for winding up has been passed against the person;
 - iii. the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
 - iv. an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
 - v. any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
 - vi. the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
 - vii. the person is financially not sound.
- If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

I/we hereby declare that, I/we am/are fit & Proper person as per the above rules & Regulations of the SEBI.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

VERBAL ORDER ACCEPTANCE AUTHORISATION

I/we am dealing with you as client at NSE/BSE/MSEI,MCX.NCDEX and ICEX in Capital, Derivative & Currency Derivative and Commodity Segment. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/ dealing on my/our behalf as per instruction given below. I/We agree and acknowledge that it is advised by you that I/We should give instruction for order placement/ modification and cancellation in writing and to avoid disputes, I/We must give instruction in exactly the format in duplicate (carbon copy/ photocopy only) and take signatures of at least two authorized officers at the branch along with company stamp on the carbon copy/ photocopy of the instructions in acknowledgment of receipt of my our instructions.,

However as I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid the it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my/our authorized representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line/mobile phone) and execute the same. I/ We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) by shifting the burden of proof by asking you to prove the placement/modification and cancellation of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

LETTER OF AUTHORISATION TO PLEDGE THE SECURITIES/COMMODITIES WITH CLEARING MEMBER/CLEARING CORPORATION

Date:20/02/2025

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Dear Sir / Madam,

Sub:TO PLEDGE THE SECURITIES/COMMODITIES WITH CLEARING MEMBER/ CLEARING CORPORATION

I/We are dealing through you as a client registered in NSE/BSE/MSEI/MCX/NCDEX AND ICEX in Capital Market/ Future & Options/ Currency Derivatives/ Commodity Segments. I have given you the securities/Commodities as margin. I hereby confirm and declare that:

(i)The Securities/Commodities are in existence, owned by me/us and are and shall be free from any charge, lien or encumbrance, whether prior or otherwise

(ii)The Securities/Commodities will be subject to the creation of pledge in favour of or for the benefit of Trading Member and further that the Securities/Commodities over which pledge may be created in future would be in existence and owned by me/us at the time of creation of such pledge and that the Said Securities/Commodity to be given in future as security to Trading Member would likewise be unencumbered, absolute and disposable property of me/us.

(iii)I authorise you to do all such acts and things, sign such documents and pay and incur any such costs, debts and expenses as may be necessary from time to time.

(iv)I/ we agree that the Securities/Commodities shall be subject to the first priority and lien in favour of Trading Member to secure, my/ our obligations and that the rights or interests of me/ us with respect to the Said Securities shall be subject and subordinate to the rights, claims and interests of Trading Member in respect of the Said Securities/Commodities

(v)You may invoke the pledge without any reference to or permission of me/ us and upon receipt of the Said Securities/Commodities, Trading Member may utilize the proceeds in meeting my/our obligations in such manner as it may deem fit and that such invocation of pledge will be final and irrevocable against me/us.

(vi)I/we shall not make any claims or demands for refund or any reimbursement in relation to the Said Securities/Commodities.

Thanking you,
Yours Truly,

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

*Note: In case of individual account, to be signed by Client only and not by his/ her authorised signatory or power of attorney holder. In case of non-individual account, to be signed by Authorised Signatory(ies) only.

OPEN INTEREST DECLARATION**Undertaking to keep my/our, directly or indirectly, open position of commodity forward contracts / commodity derivatives at MCX, NCDEX and ICEX within prescribed limit**

Date:20/02/2025

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Dear Sir / Madam,

Sub: Undertaking to keep my/our, directly or indirectly, open position of commodity forward contracts / commodity derivatives All Exchanges

I/We, the undersigned, have taken cognizance of circular no. MCX/S&I/300/2017 dated August 23,2017 issued by the MCX, circular no. NCDEX/TRADING-114/2006/247 dated September 28, 2008 issued by the NCDEX, CIRCULAR NO. ICEX/Trading/2012/220 issued by ICEX and SEBI Circular No. SEBI/HO/CDMRD/DPM/CIR/P/2016 dated September 27,2016 or any other circulars issued by SEBI/Exchanges from time to time on stated matter on the guidelines for calculation of net open positions permitted in any commodity and I/We hereby undertake to comply with the same. I/We hereby declare and undertake that I/we will not exceed the position limits prescribed from time to time by Exchanges such position limits will be calculated in accordance with the contents of above stated circular of respective Exchange as modified from time to time.

I/We undertake to inform you and keep you informed if I/we or any of my/our partner/director/karta/trustee or any of the partnership firm/ company/ HUF/ Trust in which I/ we or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on MCX, NCDEX and ICEX through you or through any other member(s) of MCX, NCDEX and ICEX to enable you to restrict my/our position limit as prescribed by the above referred circular of respective Exchange as modified from time to time

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your client on above Exchange only only on the basis of my/our above assurances and undertaking.

I/We authorize you to liquidate my/our open position if I/we violate prescribed limits for net open position as prescribed by MCX/SEBI from time to time.

I/We further undertake to bear any liability/penalty/charges levied by MCX/SEBI for non-compliance of the aforesaid circular of SEBI/Exchanges as modified from time to time.

Thanking you,
Yours Truly,

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

*Note: In case of individual account, to be signed by Client only and not by his/ her authorised signatory or power of attorney holder. In case of non-individual account, to be signed by Authorised Signatory(ies) only.

PCD (Participants Category disclosure)- Not Applicable

Date:

To,

Globe Capital Market Limited(GCML)

609, Ansal Bhawan, 16, K.G. Marg,

Connaught Palace, New Delhi-110001

Participants Category disclosure (circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 (04-01-19))

Dear Sirs,

I/We hereby confirm that among the given categories, I/We pertain to category I have ticked and authorize you to update the same in your records and for onward submission with Exchange.

| Catagories | Selected | All Commodities | Specific Commodities(mention name of commodities) |
|--|-----------------|------------------------|--|
| FPOs/ Farmers | | | |
| VCPs (Arbitrageurs, Importer, Exporter, Hedger, Phy.Mtk Trader, etc.) | | | |
| Domestic Financial Institutional Investors(Banks, Insurance Com, Mutual Fund, etc.) | | | |
| Proprietary traders | | | |
| Foreign Participants(NRI, EFE, etc.) | | | |
| Others:: | | | |

| Client Signature | | | |
|-------------------------|----------------------------|----------------------|---------------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Electronic Contract Note [ECN] – DECLARATION

Date:20/02/2025

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Dear Sir / Madam,

I/ We, SWARAJ SHAILESH DARNE , client with Member Globe Capital Market Limited of NSE,BSE,MSEI MCX, NCDEX, ICEX Exchange undertake as follows:

- I/We am/are aware that the Member has to provide physical contract note in respect of all the trades placed by me/ us unless I/ we myself want the same in the electronic form.
- I/We am/are am aware that the Member has to provide electronic contract note for my/ our convenience on my/ our request only.
- Though the Member is required to deliver physical contract note, I/ we find that it is inconvenient for me/ us to receive physical contract notes. Therefore, I/ we am/ are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me/ us.
- I/ We have access to a computer and am/ are a regular internet user, having sufficient knowledge of handling the email operations.
- My/ Our email id is swarajdarne.srj@gmail.com. This has been created by me/ us and not by someone else.
- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me.

* The above declaration has been read and understood by me/ us. I/We am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Date:

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Sub: Mutual Fund Service System (MFSS)Facility/ BSE StAR Mutual Fund Facility & other MF approved platform.

Dear Sirs,

I/We am/are registered as your client with Client Code/PAN No. CVPPD2719F_____ for the purpose of trading in the Capital Market segment and F&O/ Derivatives segment. I/We am/are interested in availing the trading facility of the Exchanges for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS and/or BSE STAR MF and/or other MF. For the purpose of availing these facilities, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of this facility and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the NSE Circular dated November 24, 2009, BSE circular dated December 2, 2009 and as may be specified by the Exchanges from time to time in this regard. I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. I/we agree and authorize you to issue payment on my behalf by debiting my account with the value of my/our transactions along with charges.

I/we therefore request you to register me/us as your client for participating in mutual fund trading facility of exchanges

Details of terms & conditions for the Investor / Client for using MFSS facility BSE StAR MF & other MF Platform

1. Pre - requisites for becoming Investor / Client for the MFSS facility / BSE StAR MF / other MF platform.

- i. The client who is desirous of investing in units of mutual fund schemes through the MFSS / BSE StAR /other MF platform.
- ii. The Client intends to execute his instruction for the subscription/ redemption of units of Mutual Fund Schemes through the broker who is a Mutual fund Intermediary (MFI) of the MFSS / BSE StAR /other MF platform
- iii. The client has satisfied itself of the capacity of the MFI to deal in Mutual Fund units and wishes to execute its instruction through the MFI and the client shall from time to time continue to satisfy itself of such capability of the MFI before executing transacting through the MFI.
- iv. The Client has approached to the MFI with the application for availing the MFSS facility/BSE StAR/other MF platform.
- v. The client has submitted relevant KYC (Know Your Client) details to the MFIs

2. Terms and Conditions

- i. The client shall be bound by circulars/ notices issued by NSEIL/BSE from time to time including the Circulars issued by NSEIL/BSE and rules, regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
- ii. The client shall notify the MFI in writing if there is any change in the information in the 'client registration form' provided by the client to the MFI at the time of registering as a client for participating in the MFSS / BSE STAR/other MF platform or at any time thereafter.
- iii. The client shall submit to the MFI a completed application form in the manner prescribed format for the purpose of placing a subscription order with the MFI
- iv. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- v. The client shall be wholly responsible for all his investment decisions and instruction.
- vi. The client shall ensure continuous compliance with the requirements of the Exchanges, SEBI and AMFI.
- vii. The client will furnish information to the MFI in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
- viii. In the event of non-performance of the obligation by the MFI, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of NSEIL/BSE or NSCCL/ Indian Clearing Corporation

Ltd. (ICCL), other exchanges/Clearing Corporation.

- ix. In case of any dispute between the MFIs and the investors arising out of the MFSS facility/ BSE StAR/other MF platform, exchanges and / or NSCCL/ICCL agrees to extend the necessary support for the speedy redressal of the disputes.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

TERMS & CONDITIONS -ONLINE MUTUAL FUNDS

For Online Investing in Mutual Funds, the below mentioned terms and conditions are in addition to and binding on the existing Power of Attorney and the Client Agreement that has been signed by you at the time of our becoming our client.

Definitions :

"Unit" shall mean the interest of an investor, which consists of one undivided share in the Net Assets of the Scheme. "Net Asset Value (NAV)" of the Units of the Scheme will be computed by dividing the net assets of the Scheme by the number of Units outstanding on the valuation date.

"Purchase" shall mean subscription to the units of the various schemes of the Mutual Fund

"Redemption" shall mean sale of the units of the various schemes of the Mutual Fund.

"Switch" shall mean an option to the investor to shift his existing investment in any of the schemes of the Mutual Fund to another scheme of the Mutual Fund.

"Systematic Investment Plan (SIP) or "Automatic Investment Plan (AIP)" or "shall mean an option available to the investor for investing in a specified frequency of months in a specified scheme of the Mutual Fund for a constant sum of investment.

"Systematic Withdrawal Plan (SWP)" or "Automatic Withdrawal Plan (AWP)" or " shall mean a facility provided to the investor to withdraw a pre - determined amount in a specified frequency of months from a specified scheme of the Mutual Fund.

1. Load shall mean a charge, which the Asset Management Company (AMC) may collect on entry and/or exit from a scheme.
2. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Mutual fund / Globe Capital Market Limited responsible in any way.
3. The units of the schemes shall be allotted, redeemed or switched, as the case may be, at the Net Asset Value (NAV) prevalent on the date of the application, if the application for purchase, redemption or switch is received by the Fund before the cut-off time as specified on the website and consistent with the terms of the Scheme. Globe Capital Market Limited. shall take all necessary action to ensure that the NAV allotted is the NAV applicable on the date of the transaction. However Globe Capital Market Limited. shall not be liable for any loss that may arise to the client as a result of the correct NAV not being allotted to the client's transactions on the website.
4. Any request falling due on a holiday would be processed on the next business day and respective NAV would be applicable as per the Mutual Fund's offer document.
5. In case of an NFO the units shall be allotted upon completion of allotment process. The NFO is open only for the period specified by the Mutual Fund. After the end of the NFO period, any forms submitted by the client shall be liable for being rejected by the chosen Mutual Fund and transfer of funds if any made by the client for the said transaction shall be at the sole risk and liability of the client.
6. In the case of Automatic Investment Plan, in the absence of sufficient allocated funds, unallocated funds to the extent available shall also be utilized. In the absence of sufficient funds, both allocated and unallocated, no investment shall be made for that particular period.
7. For change of address or any personal details of the client, the client shall send a letter or fax to Globe Capital Market Limited. signed by all the coholders.
8. Investors are advised to read the risk factors carefully before taking an investment decision. Offer document(s) / abridged offer document(s) are available with Mutual Fund Asset Management Companies. For taking an investment decision, investors must rely on their own examination of the issuer and offer, including risk involved.
9. Globe Capital Market Limited. does not accept any liability for delay in processing time at the Mutual Fund's or Registrar's end.
10. It is explicitly stated herein that the Mutual Fund schemes offered online, have not been recommended by Globe Capital Market Limited., nor have been sponsored by Globe Capital Market Limited. Limited, or its affiliates or its sponsors.
11. Neither Globe Capital Market Limited., nor any of the Mutual Funds shall be liable for any failure to perform its obligations, to the extent that such performance has been delayed, hindered or prevented by systems failures, network errors, delay or loss of data due to the above and in circumstances of acts of God, floods, epidemics, quarantine, riot or civil commotion and war:
12. Globe Capital Market Limited. shall provide its services on a best effort basis. However Globe Capital Market Limited. shall not be liable for failure of the system or for any loss, damage or other costs arising in any way out of:
 - i. System failure including failure of ancillary or associated systems, or fluctuation of power, or other environmental conditions; or
 - ii. Accident, transportation, neglect, misuse, errors, frauds of the clients or agents or any third party; or
 - iii. Any fault in any attachments or associated equipment of the clients; or

iv. Any incidental, special or consequential damages including without limitation of loss of profit.

The client further agrees to indemnify Globe Capital Market Limited. for any loss that may arise as a result of system failure, accident or any of the reasons mentioned above.

13. For all correspondences with Globe Capital Market Limited., the client shall quote his e-broking account no.
14. I/We hereby agree/s to indemnify both the Mutual Fund and Globe Capital Market Limited and its employees for any loss arising as a result of any loss of data, unauthorized transaction / error during the financial transaction thereof.
15. I/we am/are aware that in order to comply with regulatory provisions under the Prevention of Money Laundering Act 2002, Rules issued there under and related guidelines/circulars issued by SEBI, KYC formalities are required to be completed for all Unit Holders, including Guardians and Power of Attorney holders, for any investment (whether new or additional purchase) of Rs. 50,000 or more in mutual funds. For the convenience of investors in mutual funds, all mutual funds have made special arrangements with CDSL Ventures Ltd. (CVL), a wholly owned subsidiary of Central Depository Services (India) Ltd. (CDSL)).I/We hereby agree to indemnify the Mutual Fund/ Globe Capital Market Limited /Registrar and its employees for any rejection/loss arising as a result of not completing KYC registration during the financial transaction thereof.
16. Upfront commission shall be paid directly by the investor to the AMFI registered Distributor based on the investors' assessment of various factors including the service rendered by the distributor.
17. The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

The Terms and Conditions set hereinabove may be modified by Globe Capital Market Limited.without any prior notice to the client and the client's continued usage of the services shall be deemed to be an acceptance of the modified terms.

I/we have gone through the offer document/ key information memorandum before deciding to make this investment in the mutual fund scheme.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

BANK MANDATE AUTHORIZATION

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Sub: Mutual Fund Service System (MFSS)Facility/ BSE StAR Mutual Fund Facility & other MF approved platform.

Dear Sirs,

This is with reference to my/our request for availing Mutual Fund Services (MFSS) facility/BSE StAR MF and other MF approved platform as a client offered by NSE and BSE. I/We hereby undertake to provide funds to Globe Capital Market Ltd. (herein referred to as "Globe") equivalent to the subscription amount and applicable brokerage/fees/charges before applying for mutual fund units through the Globe".

For this purpose. I/We hereby authorize "Globe" to utilize the surplus funds in my/our trading account held with "Globe" in its capacity as a Stock Broker ad transfer funds to the extent of any shortfall towards subscription amount and/or applicable brokerage, fees, charges and other statutory levies (in case of both subscription and redemption) and/or any other obligation to meet the debit in my/our Mutual fund transaction account.

I further authorize "Globe" to process One Time Mandate Form duly executed by me in favour "Globe"/ BSE and to honour the obligations that arises as a result of transactions undertake by me/us and also debit my account for any other incidental charges including Account Maintenance Charges (AMC), applicable brokerages, fees, other statutory charges etc. I/We hereby authorize "Globe", to execute an order in my/our account held with "Globe" for any transaction in Mutual Fund Unit which may include purchase/redemption/switch/SIP etc. on my/our instructions provided by me/us either electronically or in writing, verbally/telephonically. I hereby agree and understand that the said instructions shall be valid and binding upon me/us.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

TERMS & CONDITIONS - BANK MANDATE

A. Bank Mandate in favour of BSE

Pursuant to agreed by me/us to invest in units of mutual funds schemes through the MFSS/BSE StAR MF/other MF platform on terms and condition mentioned therein, I further understand and acknowledge that:

1. Bank Mandate shall be in favor of 'BSE Limited'. (Hereinafter 'BSE')
2. My/Our name MUST match with the name registered with GLOBE as per the UCC.
3. The Bank details in the Bank Mandate MUST match with registered bank details in my/our Trading Account.
4. The Transaction value and brokerage charges, if any, shall be debited from my/our bank account.
5. BSE reserves the right to register bank mandate in any available modes (ACH & ECS etc.).
6. Mandate registration may take 30 working days to get registered from the date of submission of Mandate at GLOBE's office subject to Bank Confirmation.
7. My/Our bank may charge for activating any such services from my/our account. Neither GLOBE nor its service provider shall be held responsible or bear any such charges.
8. I/We shall ensure sufficient balance in my/our bank account for successful processing of Transactions.
9. Mandate format is subject to change as per the guidelines received from BSE or RBI/Bank or other concerned governmental or statutory authorities. On receiving any such intimation from RBI or Bank or any other concerned party, GLOBE or its service provider may change the Mandate without prior notice to me/us & Transactions may be rejected by GLOBE or its service provider or my/our Bank due to any such change. Neither GLOBE nor its service provider shall be held responsible for any such rejections.
10. Payment collection shall be done by BSE for any SIP which is registered on the Mandate registered with BSE.
11. If the installment amount is not received by BSE on or before SIP installment date, SIP Installment will be cancelled by BSE.
12. For cancelled installment, collected amount shall be refunded to my/our registered bank account.
13. GLOBE reserves its rights to change the terms and conditions from time to time.
14. Any dispute shall be subject to jurisdiction of Courts at Delhi only.

B. Bank Mandate in favour Globe Capital Market Ltd.

Pursuant to agreed by me/us to invest in units of mutual funds schemes through the MFSS/BSE StAR MF/other MF platform on terms and condition mentioned therein, I further understand and acknowledge that:

1. Bank Mandate shall be in favor of 'Globe Capital Market Limited'. (Hereinafter 'GLOBE')
2. The Bank details in the Bank Mandate MUST match with bank details in my/our Trading Account.
3. My/our Signature on bank mandate must be as per bank records. Signature of all bank account holders required if mode of holding in account is "Joint"
4. GLOBE reserves the right to register bank mandate in any available modes (. Auto Debit, ACH, ECS etc.).
5. Bank Mandate may take 30 days to get registered from the date of submission of Mandate at GLOBE's office (subject to Bank confirmation).
6. My/Our bank may charge from my/our account for activating any such services. Neither GLOBE nor its service provider shall be held responsible or bear any such charges.
7. Mandate format is subject to change as per the guidelines received from RBI/Bank or other concerned governmental or statutory authorities. On receiving any such intimation from RBI or Bank, GLOBE or its service provider may change the Mandate without prior notice to the me/our & Transactions may be rejected by GLOBE or its service provider or my/our Bank due to any such change. Neither GLOBE nor its service provider shall be held responsible for any such rejections.
8. The mandate authorizes GLOBE to recover any debit balance in my/our Depository Participant and/or Trading account of any segment in any of the Stock Exchange(s).
9. This mandate further authorizes GLOBE to collect the dues, if any, arises due to purchase of mutual funds or SIP by me/us. 10. This mandate covers my/our all the fund obligations including pay-in and other charges etc in respect of my/our transactions and on account of any default thereon.

C. SIP SPECIFIC TERMS & CONDITIONS

1. The SIP will be registered pursuant to the details given in the TIS (Transaction Instruction Slip) & incomplete TIS or incorrect information may be subject to rejection by GLOBE.

2. GLOBE will check the correctness of TIS & other documents submitted before registration of my/our SIP(s).
3. I/We shall all the time abide by these Terms and Conditions while submitting the Transaction Instruction Slip to GLOBE.
4. Amount debited from my/our bank account for SIP transaction(s) shall include Brokerage charges, if any.
5. I/We can register for SIP in the schemes which are available on Exchange(s) and offered by GLOBE.
6. SIP shall commence only after successful registration of the Mandate.
7. SIP shall commence after 40 days from the date of submission of TIS and Bank Mandate at GLOBE's office as per the SIP start date selected.
8. In case, where Bank Mandate is already registered and I/We desire to start additional SIP against the existing Mandate Limit, SIP start date may take 10 to 15 working days from the date of submission of TIS at GLOBE's office as per the SIP Start Date selected (applicable in case an open mandate is given).
9. Depending upon the my/our location, my/our bank branch, my/our Bank Account shall be debited between 3 to 5 days prior or on SIP transaction date on Exchange(s).e.g: if the SIP transaction date is 15th of every month, my/our bank account may get debited between 10th to 12th date or maximum by 15th.
10. Maximum Upper Limit: Cumulative amount on a particular date shall not exceed the upper limit mentioned on the Bank Mandate. e.g: if the upper limit mentioned on the bank mandate is 10,000 & investor has registered 3 SIPs of 5000/- each on 1st, 15th & 28th of every month, all the SIPs will be successfully registered. But a single SIP of 11000/- on a same date will not be registered
11. If the Transaction(s) are delayed / not processed due to any reason including delay / non realization of the funds to GLOBE from my/our bank account, in no circumstances, GLOBE owes responsibility to me/us or any third party, whatsoever.
12. If the transaction(s) are delayed / not processed due to any reason on the SIP due date, no back dated NAV will be given in any circumstances whatsoever.
13. In case of my/our SIP fund is realised in GLOBE account after SIP due date, my/our SIP transaction will be cancelled by GLOBE and such transaction shall be processed as "Purchase" on the date of realisation of the funds. If for any reason, "Purchase" transaction could not be processed, the funds will be refunded to my/our bank account.
14. Payment collection shall be done by BSE for any SIP which is registered on the Mandate registered with BSE.
15. If the installment amount is not received by BSE on or before SIP installment date, SIP Installment will be cancelled by BSE.
16. For cancelled installment, collected amount shall be refunded to my/our registered bank account.
17. GLOBE reserves rights to change the terms and conditions from time to time.
18. Any dispute shall be subject to jurisdiction of Courts at Delhi only.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai. I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below.

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of Registration / modification (Please cancel out what is not applicable).

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

1.Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. 'Depository' means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means 'Transactions Using Secured Texting' service offered by the Depository.
 - iii. 'Service Provider' means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. 'Service' means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. 'Third Party' means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
- 2.The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
- 3.The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
- 4.The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
- 5.The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
- 6.The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
- 7.The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
- 8.The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
- 9.BO's are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
- 10.The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
- 11.The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
- 12.The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
- 13.Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
- 14.The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
- 15.The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
- 16.The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.

17.Indemnity:

In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18.Disclaimer:

Depository shall be absolved of any liability in case :-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.
-

Dear Sir/Madam,

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL.

a. SMART - SMS alert facility

b. TRUST - Transaction using Secured Texting facility.

(please note that SMS alert facility is mandatory if TRUST facility is opted for)

I/We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purpose of availing the said facility.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee / charges as may be levied by the depository from time to time.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

**RIGHTS & OBLIGATIONS OF STOCK BROKERS & CLIENTS
FOR
MARGIN TRADING FACILITY (MTF)
AS PRESCRIBED BY NSE:**

CLIENT RIGHTS

1. Client shall receive all communications in a mode mutually agreed between the broker and the client regarding confirmation of orders/trades, margin calls, decision to liquidate the position / security.
2. Client shall be free to take the delivery of the securities at any time by repaying the amounts that was paid by the Stock Broker to the Exchange towards securities after paying all dues.
3. Client has a right to change the securities collateral offered for Margin Trading Facility at any time so long as the securities so offered are approved for margin trading facility.
4. **Client may close / terminate the Margin Trading Account at any time after paying the dues.**

CLIENT OBLIGATIONS

1. Client shall, in writing in his own hand or in any irrefutable electronic method, agree to avail of Margin Trading Facility in accordance with the terms and conditions of Margin Trading Facility offered by the broker, method of communication for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/position.
2. Client shall inform the broker of its intent to shift the identified transaction under Margin Trading Facility within the time lines specified by the broker failing which the transaction will be treated under the normal trading facility
3. Client shall place the margin amounts as the Stock Broker may specify to the client from time to time.
4. On receipt of „margin call, the client shall make good such deficiency in the amount of margin placed with the Stock Broker within such time as the Stock Broker may specify.
5. By agreeing to avail Margin Trading Facility with the broker, client is deemed to have authorized the broker to retain and/or pledge the securities provided as collateral or purchased under the Margin Trading Facility till the amount due in respect of the said transaction including the dues to the broker is paid in full by the client.
6. Client shall lodge protest or disagreement with any transaction done under the margin trading facility within the timelines as may be agreed between the client and broker.

STOCK BROKER RIGHTS

1. Stock Broker and client may agree between themselves the terms and condition including commercial terms if any before commencement of MTF.
2. Stock broker may set up its own risk management policy that will be applicable to the transactions done under the Margin Trading Facility. Stock broker may make amendments there to at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the Margin Trading Facility.
3. The broker has a right to retain and/or pledge the securities provided as collateral or the securities bought by the client under the Margin Trading Facility.
4. The broker may liquidate the securities if the client fails to meet the margin call made by the broker as mutually agreed of liquidation terms but not exceeding 5 working days from the day of margin call.

STOCK BROKER OBLIGATIONS

1. Stock broker shall agree with the client the terms and condition before extending Margin Trading Facility to such client. However, for clients who already have existing trading relationship and want to avail of Margin Trading Facility, stock broker may take consent in writing in his own hand or in any irrefutable electronic method after stock broker has communicated the terms and conditions of Margin Trading Facility to such existing clients.
2. The terms and conditions of Margin Trading Facility shall be identified separately, in a distinct section if given as a part of account opening agreement.
3. The mode of communication of order confirmation, margin calls or liquidation of position/security shall be as agreed between the broker and the client and shall be in writing in his own hand or in any irrefutable electronic method. Stock broker shall prescribe and communicate its margin policies on haircuts/ VAR margins subject to minimum requirements specified by SEBI and exchanges from time to time.
4. The Stock Broker shall monitor and review on a continuous basis the clients positions with regard to MTF. It is desirable that

- appropriate alert mechanism is set up through which clients are alerted on possible breach of margin requirements
5. Any transaction to be considered for exposure to MTF shall be determined as per the policy of the broker provided that such determination shall happen not later than T + 1 day.
 6. If the transaction is entered under margin trading account, there will not be any further confirmation that it is margin trading transaction other than contract note.
 7. In case the determination happens after the issuance of contract, the broker shall issue appropriate records to communicate to Client the change in status of transaction from Normal to Margin trading and should include information like the original contract number and the margin statement and the changed data.
 8. The Stock Broker shall make a „margin call requiring the client to place such margin; any such call shall clearly indicate the additional/deficient margin to be made good.
 9. Time period for liquidation of position/security shall be in accordance declared policy of the broker as applicable to all MTF clients consistently. However, the same should not be later than 5 working (trading) days from the day of „margin call. If securities are liquidated, the contract note issued for such margin call related transactions shall carry an asterisk or identifier that the transaction has arisen out of margin call.
 10. The daily margin statements sent by broker to the client shall identify the margin/collateral for Margin Trading separately.
 11. Margin Trading Accounts where there was no transactions for 90 days shall be settled immediately.
 12. The stocks deposited as collateral with the stock broker for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and there shall not be any comingling for the purpose of computing funding amount;
 13. Stock Broker shall close/terminate the account of the client forthwith upon receipt of such request from the client subject to the condition that the client has paid dues under Margin Trading Facility.

TERMINATION OF RELATIONSHIP

1. The margin trading arrangement between the stock broker and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the margin trading facility provided to the Stock Broker or the Stock Broker surrenders the facility or the Stock Broker ceases to be a member of the stock exchange.
2. The MTF facility may be withdrawn by the broker, in the event of client committing any breach of any terms or conditions therein or at any time after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the margin trading facility in the event of broker committing any breach of any terms or conditions therein or for any other reason.
3. In the event of termination of this arrangement, the client shall forthwith settle the dues of the Stock Broker. The Stock Broker shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes the Stock Broker to make such adjustment.
4. After such adjustment, if any further amount is due from the client to the Stock Broker, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to the Stock Broker, the Stock Broker shall release the balance amount to the client.
5. If the client opts to terminate the margin trading facility, broker shall forthwith return to the client all the collaterals provided and funded securities retained on payment of all the dues by clients.

RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED BY STOCK BROKER/ TRADING MEMBER TO CLIENTS AS PRESCRIBED BY BSE.

1. Stock Broker/ Trading Member is eligible to provide Margin Trading Facility (MTF) in accordance with SEBI& Exchange Guidelines as specified from time to time.
2. Stock Broker/ Trading Member desirous of extending MTF to their clients is required to obtain prior permission of BSE. Stock Broker/ Trading Member may note that BSE has the right to withdraw the permission at anytime.
3. Stock Broker/ Trading Member shall extend the MTF to the client, on such terms and conditions as specified by the Stock Exchange / SEBI from time to time. Stock Broker/ Trading Member and the client shall abide by the requirements of the margin trading framework, including rights and obligations, as prescribed by Stock Exchange/ SEBI/ Stock Broker/ Trading Member.
4. Stock Broker/ Trading Member shall intimate all the terms and conditions, including maximum allowable exposure, specific stock

exposures etc., as well as the rights and obligations to the client desirous of availing MTF.

5. Stock Broker/ Trading Member may, at its sole and absolute discretion, increase the limit of initial and/or maintenance margin, from time to time. The Client shall abide by such revision, and where there is an upward revision of such margin amount, he agrees to make up the shortfall within such time as the Stock Broker/ Trading Member may permit. It may however, be noted that the initial/ maintenance margins shall never be lower than that prescribed by Stock Exchange/ SEBI.
6. Stock Broker/ Trading Member shall provide MTF only in respect of such shares, as may be permitted by Stock Exchange/ SEBI.
7. Stock Broker/ Trading Member shall liquidate the securities and other collateral, if the client fails to meet the margin call to comply with the margin requirement as specified by Stock Exchange/ SEBI/ Stock Broker/ Trading Member. In this regard, Stock Broker/ Trading Member shall also list down situations/ conditions in the which the securities may be liquidated (Stock Broker/ Trading Member to list down situations/ conditions):
8. Stock Broker/ Trading Member shall not use the funds of one client to provide MTF to another client, even if the same is authorized by the first client.
9. The stocks deposited as collateral with the Stock Broker/ Trading Member for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.
10. IPF shall not be available for transactions done on the Stock Exchange, through MTF, in case of any losses suffered in connection with the MTF availed by the client.

The rights and obligations prescribed hereinabove shall be read in conjunction with the rights and obligations as prescribed under SEBI circular no. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011.

POLICIES & PROCEDURES FOR MARGIN TRADING FACILITY AS PRESCRIBED BY GLOBE CAPITAL MARKET LIMITED.

APPLICABILITY

1. We, Globe Capital Market Limited (GCML), are member of National stock Exchange of India Limited (NSEIL), BSE Limited (BSE) and Metropolitan Stock Exchange of India Limited and are eligible to provide Margin Trading Facility (MTF) in National stock Exchange of India Limited (NSEIL) and BSE Limited (BSE) to our clients in accordance with prescribed guidelines Rules and circulars issued by Stock Exchanges / SEBI from time to time.
2. Providing Margin Trading Facility (MTF) shall be at the discretion of GCML. Clients request for availing MTF may be rejected without assigning any reason.
3. Transactions / Trades executed under MTF are subject to "Policy & Procedures for MTF" as specified by GCML. GCML may amend the policies from time to time according to its risk perceptions and inform the Clients of the amendments made. Any modifications to the terms and conditions shall be intimated to the Clients, except otherwise for the clauses specified separately.
4. In addition to Right and Obligation as specified by Exchanges and SEBI, the client agrees to abide by the terms & conditions as enumerated hereafter in "Policy & Procedures for MTF". Further, GCML and clients agree to abide by any other requirements of the margin trading framework, including other rights and obligations, if any, prescribed by the Stock Exchange/ SEBI/ GCML from time to time.
5. This policy prescribed herein below shall be read in conjunction with the framework for Margin Trading Facility as prescribed under SEBI Circular No. CIR/MRD/DP/54/2017 dated June 13, 2017, the circulars relating to MTF issued by the Stock Exchanges/SEBI, any modifications thereto from time to time and the Policies and Procedures as prescribed by GCML and the terms and conditions as mutually agreed between the client and GCML.

ELIGIBLE SECURITIES & MARGIN

6. Securities specified under "MTF APPROVED CATEGORY LIST" by GCML from time to time which must be a part of "Group I" security as per Exchanges/ SEBI shall be eligible for margin trading facility. GCML reserves the right to include or exclude any Securities from its "MTF APPROVED CATEGORY LIST" as per the discretion of Risk Management Committee without any prior intimation.
7. Globe reserves the right to transfer any of the trades done in the normal market in the "MTF APPROVED CATEGORY LIST" to MTF trades at its discretion.
8. The client shall maintain the following initial margin, in order to avail margin trading:

| Category of Stock | Applicable margin |
|---|----------------------------------|
| Group I stocks available for trading in the F & O Segment | VaR + 3 times of applicable ELM* |
| Group I stocks other than F&O stocksss | VaR + 5 times of applicable ELM* |

*For aforesaid purpose, the applicable VaR and ELM shall be as per Capital Market segment for a particular stock. Based on the risk assessment, GCML shall have the discretion to impose/collect higher margin than the margin specified above without any prior intimation to the client.

9. The Client shall maintain the Maintenance Margin with the member at all the times.
10. The client may pay Initial Margin in the form of cash, cash equivalent, or "Group I" Equity securities with appropriate hair cut as specified in SEBI Master Circular No. SEBI/HO/MRD/DP/CIR/P/2016/135. Where the margin is made available by way of securities, the stock broker is empowered to decline its acceptance of any securities as margin and/or to accept it at such reduced value as the stock broker may deem fit by applying haircuts or by valuing it by marking it to market or by any other method as the stock broker may deem fit in its absolute discretion.
11. Applicable Margin on the securities purchased i.e. "Funded Securities" under MTF shall be computed by grossing applicable margin i.e. minimum initial margin plus maintenance margin, if any, on each security and accordingly shortage shall be computed by deducting available margin from gross margin. Collateral shares and Funded Shares shall be marked to market daily for the purpose of computing the margin/shortage of margin.

MODE OF COMMUNICATION

12. Any communication between GCML and the client shall be made in any one or more of the following ways:
 - i. Telephonic Calls
 - ii. Sending by SMS
 - iii. Any other electronic mode including Email, fax etc
 - iv. Sending by registered post/ under certificate of posting
 - v. **Sending by express delivery post / courier services.**
 - vi. Sending by Telegram
 - vii. Sending by Hand Delivery
 - viii. Affixing on the door at the last known business or residential address
 - ix. Advertising it at least once in any prominent Daily Newspaper
 - x. Sending a message through the Trading System

Any communication done with the client through any of the aforesaid means shall be deemed to have been received by the client

RIGHTS OF THE CLIENT

13. Client may take the delivery of the securities at any time by repaying the amount that was paid by the Stock Broker to the Exchange towards securities after paying all dues.
14. Client may change the composition of securities provided as collateral with other approved securities provided that the same is sufficient to meet the margin obligations.

OBLIGATIONS OF THE CLIENT

15. If the Client is intimated about the Margin shortage through any of the mutually agreed mode of communication, then the client shall make good such deficiency in the amount of margin placed with GCML in the below mentioned defined time.

| Intimation of Margin Call | Timelimittoclearthemargin deficiency |
|---------------------------------|---|
| Margin call made before 9.00 AM | Shortage to be cleared before 1.30 PM on the same day |
| Margin call made after 9.00 AM | Shortage to be cleared till End of Day |

16. If client fails to clear the shortage, GCML reserves the right to liquidate the securities without any further notice to client. If the instrument i.e, cheque / pay order / DIS (delivery instruction slip) deposited by client is not credited within 2 trading days it is assumed that client has not made its obligation and GCML reserves the right to liquidate the securities without any further notice to the client.

17. Notwithstanding anything contains herein, if at any point of time if there is margin deficit of more than 50%, GCML reserves the right to liquidate the securities without any prior notice to the client.
18. In case of lower circuit in any security, GCML has the discretion to calculate the shortage of client at less than closing price including exclusion of the same security from clients margin and can demand the full shortage amount accordingly.
19. Client, desirous to purchase security other than as specified under "MTF APPROVED CATEGORY LIST" and not specifically banned by GCML, shall be carried out as Normal Trading (Other than MTF) and subject to applicable margin.
20. If any security is excluded from "MTF APPROVED CATEGORY LIST", Client shall be under obligation to pay the full consideration value for such security on intimation of the same within the specified time, failing which GCML shall have the right to sell such security without further notice to the Client. Any and all losses and financial charges on account of such liquidations shall be charged to & born by the client.
21. By agreeing to avail of MTF, the client authorises GCML to retain and/or pledge or create any charge on the funded shares and collateral shares provided as margin.

RIGHTS OF THE MEMBER

22. GCML, at its own discretion, may allow client to buy further shares under MTF on the basis of increase in the value of collateral shares, subject to applicable hair cut. However, purchase shall not be permitted on the basis of increase in the market value of funded shares.
23. GCML shall have the right to restrict the maximum gross exposure as well as individual stock-wise exposure of a client under the MTF at any point of time without giving any reason to the client. The client is not entitled to seek exposure beyond the limit as specified by GCML by furnishing applicable margin.
24. GCML has the right to retain and/or pledge or create any charge on the funded shares and collateral shares provided as margin.
25. GCML shall have the right to liquidate the securities if the client fails to meet the margin call as per the agreed terms.

OBLIGATIONS OF THE MEMBER

26. GCML shall not use the funds and securities of one client to provide MTF to another client, even on the authority of the client.
27. The stocks deposited as collateral for availing margin trading facility (Collaterals) and the stock purchased under the margin trading facility (Funded Stock) shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.
28. Daily Margin Statement sent to the MTF clients shall identify margin/collateral for MTF transaction separately.

CHARGES

29. Brokerage, Statutory Charges and Other Charges will be charged as mutually agreed between the parties within the prescribed limits as specified by SEBI.
30. All outstanding dues under MTF shall carry Interest @ 0.07% per day unless mutually agreed otherwise.

SETTLEMENT OF ACCOUNT

31. Margin Trading Accounts where there was no transaction for 90 days shall be settled immediately provided there are no dues outstanding in the MTF account. Debit balance, if any, in the normal trading account shall be first adjusted against the MTF account and the remaining amount shall be paid to the Client.
- 32. Clients balances in any other segment / exchange can be adjusted / recovered against due in MTF account.**

DISPUTE RESOLUTION

33. Client shall lodge protest or disagreement with any transaction done under the margin trading facility latest by 5:00 PM of the next day of the trades executed.
34. The Client shall first approach GCML to redress his/her grievance, if the client is not satisfied with the redressal/response provided by GCML, then he/she may approach the Exchange to redress the same.
35. Any disputes arising between the client and GCML in connection with the margin trading facility shall be resolved through the investor grievance redressal mechanism and/or arbitration mechanism of the stock exchanges as in the case of normal trades.

TERMINATION OF RELATIONSHIP

36. The margin trading arrangement between the stock broker and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the margin trading facility provided to the Stock Broker or the Stock Broker surrenders the facility or the Stock Broker ceases to be a member of the stock exchange.
37. GCML reserves the right to withdraw MTF provided to any Client without giving any reason after giving a 30 days notice to the Client. The dues, if any, in the account of the client shall become payable after the notice period. Failing which GCML shall have the liberty to sell such security without further notice to the Client. Any and all losses and financial charges on account of such liquidations shall be charged to & born by the client.
38. Client may terminate the MTF account after paying all dues in the MTF account. The client shall settle the dues of GCML. GCML shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes GCML to make such adjustment.
39. If a client is debarred by order of lawful authority from dealing in the securities market, GCML shall have the liberty to liquidate Collateral Stock and Funded Stock of the client to recover its dues to the full extent forthwith. Any and all losses and financial charges on account of such liquidations shall be charged to & born by the client.
40. In case of death of a client, GCML shall be entitled to liquidate the Collateral Stock and Funded Stock under MTF and recover the outstanding dues, if any. Any and all losses and financial charges on account of such liquidations shall be charged to the client.

ACKNOWLEDGEMENT

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

I/We hereby acknowledge that the following documents were made available to me:

1. Rights and Obligations of Stock Brokers, Authorize person and Clients (Trading).
2. Rights and Obligations of Beneficial owner and Depository Participant (DP)
3. Risk Disclosure Document for Capital Market and Derivatives Segments and Commodity Derivative Segments
4. Guidance Note - Dos and Donts for Trading on the Exchange(s) for Investors
5. Policies and Procedures of Globe Capital Market Limited(GCML) pursuant to the SEBI circular dated December 03, 2009.
6. Rights & Obligations of Clearing Member/Participant and its Client (SLBS).
7. Useful Dos and Donts for the client
8. General Terms and Conditions governing securities Trading and Broking services of Globe Capital Market Limited(GCML).
9. Terms & Conditions for Basic Services Demat Account.
10. Terms & Conditions for Easi facility.
11. Format for Power of Attorney.
12. Tariff Sheet as applicable to Demat Account

I/We understand that the Voluntary documents executed by me/us are out my/our free will.

I/We hereby confirm that I/We have clearly understood and agree to abide by the Policies and Procedures described by Globe Capital Market Limited(GCML). I/We have read and understood the Terms and Conditions governing securities trading and broking services of Globe Capital Market Limited(GCML) as mentioned in para 1 to 18 and agree to abide by the same. I/We also understand and agree that these Policies and Procedures as well as Terms and Conditions can be changed by Globe Capital Market Limited(GCML) from time to time with prior notice of 15 days through email.

I/We am/are aware that the login Id and password of my trading account will be sent on my Email ID & alerts on Mobile no. furnished in KYC form and any changes thereof.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Option form for issuance of DIS booklet along with account opening

| | | | |
|--------------------|----------|------------------|--|
| DP ID | IN300966 | Client ID | |
| First Holder Name | NA | | |
| Second Holder Name | NA | | |
| Third Holder Name | NA | | |

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Dear Sir/Madam,

I/We hereby state that:**1. ☐ OPTION 1:**

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening of my/our account though I/we have issued a Power of Attorney (POA)/Demat Debit and Pledge Instruction (DDPI)/registered for eDIS/executed PMS agreement in favour of/with Globe Capital Market Limited(GCML) (name of the POA/DDPI/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney holder -Clearing Member/by PMS manager/for executing delivery instructions through eDIS.

☒ OPTION 2:

I/We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I/We have issued a Power of Attorney (POA)/Demat Debit and Pledge Instruction (DDPI)/registered for eDIS/executed PMS agreement in favour of/with Globe Capital Market Limited(GCML) (name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder/Demat Debit and Pledge Instruction - Clearing Member/by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

| Client Signature | | | |
|-------------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Aadhaar Consent Letter

This is to declare that I have made an application electronically to open a trading and/or depository account with Globe Capital Market Limited. I declare that for opening the trading and/or demat account, I have executed a digital KYC by using the Aadhaar based eSign and digilocker services. My identity verification for the eKYC was done on the basis of my OTP. I further declare that my information with UIDAI was used for the purpose of ekyc were obtained with my informed consent and with the intention that I shall remain at all time bound thereby. The eKYC form and this Annexure constitute one integral document and it shall always be read together.”.

1. I confirm that I have consented for opening of trading and/or demat account electronically through computer/tablet/ mobile phone/any electronic device.
2. I confirm that the terms and conditions, information and instructions are read and understood by me, such information/instructions are an intrinsic part of my request to open a trading and/or depository account and I agree to be bound by them.
3. I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false, untrue, misleading, or misrepresenting, I am aware that I may be held liable for it.

I am aware of other modes of KYC which are available and I have chosen Aadhaar based method voluntarily.

KRA agencies can use my Aadhaar record only for the specific purpose of validating /maintaining / sharing my KYC record and as an audit evidence. I will have an option to request for deletion of my Aadhaar record. I hereby consent to receiving information through SMS/Email on the registered number/Email address. I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I hereby consent to sharing my masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

MOST IMPORTANT TERMS & CONDITIONS (MITC) for non-custodial settled trading accounts)

(As per Circular Ref No: NSE/INSP/60147 Dated: Jan. 05, 2024, Annexure-A).

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

| Client Signature | | | |
|------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

BSDA DECLARATION(Declaration for Basic Services Demat Account)

Date:20/02/2025

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Dear Sir / Madam,

Sub: With reference to my / our application for opening a depository account, I/ We request you to open my / our depository account as per the following details:

| | Name | PAN |
|--------------------|-----------------------|------------|
| First Holder Name | SWARAJ SHAILESH DARNE | CVPPD2719F |
| Second Holder Name | NA | |
| Third Holder Name | NA | |

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We are aware that if I/we are eligible to open a depository account as a BSDA, the account shall be opened as a BSDA.

I/We also understand that in case, I/We at any point of time do not meet the eligibility criteria as a BSDA holder, my / our demat account is liable to be converted to regular account.

I/We also state that if at any time choose to opt out of BSDA i.e. avail the facility of regular account the same will be communicated to the Participant from Sole / First Holder registered email ID.

Note:-

An individual shall be eligible for BSDA subject to the following conditions:

- The individual has or proposes to have only one demat account where he/she is the sole or first holder.
- The individual shall have only one BSDA in his/her name across all depositories.
- Value of securities held in the demat account shall not exceed 10 Lakhs for debt and other than debt securities combined at any point of time.

| The charge structure shall be as indicated below:Value of Holdings in the Demat Account(Debt as well as other than debt securities combined) | Annual Maintenance Charges |
|--|----------------------------|
| Upto 4 lakhs | NIL |
| Morethan 4 lakhs but upto 10 lakhs | 100 |
| Morethan 10 lakhs Not a BSDA | Regular AMC |

| Client Signature | | | |
|-------------------------|---------------------|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client | | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place | |

Request for activation of UPI block mechanism in Cash segment

Date:20/02/2025

To,
Globe Capital Market Limited(GCML)
609, Ansal Bhawan, 16, K.G. Marg,
Connaught Palace, New Delhi-110001

Dear Sir / Madam,

I/We am/are registered client/prospective client of Globe Capital Market Limited having

PAN CVPPD2719F

I/We hereby request to activate UPI block mechanism in Cash segment in my client code.

In this regards, please find annexed herewith duly signed terms and conditions for the same.

You are requested to activate the same.

Thanking you,
Yours faithfully,

Client Signature:

Name:- SWARAJ SHAILESH DARNE

PAN:- CVPPD2719F

Client Code:-

Terms and Conditions for Trading Supported by Blocked Amount in Secondary Market

1. Eligibility

1.1. This facility is available only in cash segment to resident individuals and Hindu Undivided Families (HUFs) who settle their trades directly with Globe Capital Market Limited.

1.2. Clients who have appointed a Custodian clearing member to clear their trades are not eligible for this facility.

1.3. Clients availing Margin Trading Facility (MTF) are not eligible for this facility.

1.4. Clients active in FO, CD or Commodity along with cash segment are not eligible for this facility.

2. Registration Requirements

2.1. The client must provide one primary bank account and one primary demat account for registration.

2.2. The PAN details of the first holder must match across the UCC database, bank account, and Demat account.

2.3. The client acknowledges that UPI registration requests shall be processed subject to successful validation by exchange and NCL.

3. UPI Blocking Mechanism

3.1. The client agrees to create UPI blocks in favour of the Clearing Corporation (CC) using registered bank accounts.

3.2 All restrictions specified by NPCI-UPI or the client's bank regarding amount and number of instructions shall be applicable.

3.3. The client understands that blocks can only be created from banks that are on the CC's approved list.

3.4 Credit will be given on the basis of confirmation from CC.

3.5 Any delay w.r.t to blocking/unblocking of funds from NPCI/CC, Globe Capital Market Limited will not be responsible.

4. Maintenance of margin,

Clients opting for UPI block mechanism should maintain 100% upfront margin in the form of block prior to execution of trades along with all applicable charges. In absence of 100 % margin and applicable charges client will not be allowed to trade.

5. Pay-out Mechanism

- 5.1. Funds pay-out will be credited directly by the cc to the client's primary bank account.
- 5.2. Securities pay-out will be transferred by the CC to the client's primary demat account.
- 5.3. The client acknowledges that pay-outs may be withheld by the CC, if the primary accounts are inactive or have technical issues.

6. Shortfall in client account

Any shortfall in client account due to any reason like auction etc. shall be recoverable from client.

7. Termination of UPI block mechanism Facility.

- 7.1 The client acknowledges that deregistration/ termination request of UPI block mechanism, shall be processed subject to successful validation from CC.
- 7.2. Unutilized UPI blocks will be released if there is no trading activity for a quarter.
- 7.3. The client acknowledges that any pending shortages must be settled before de-registration.

8. Modifications.

- 8.1. These terms and conditions may be modified from time to time. Clients are advised to refer our website for latest term and conditions for ASBA in secondary market.
- 8.2. The client agrees to abide by all applicable rules, regulations, and guidelines issued by SEBI, Exchanges, and Clearing Corporations from time to time.

Signature of client.

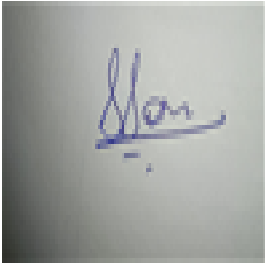
Name-: SWARAJ SHAILESH DARNE

PAN-: CVPPD2719F

UCC-:

Client Declaration

I hereby confirm that I have read and understood the contents of the form including declarations, authorization and have also verified the information in the form. I hereby confirm that I have digitally signed the form including declarations, authorizations and copy of documents at all places with inscription
'Digitally Signed by: SWARAJ SHAILESH DARNE'.

| Client Signature | | | |
|------------------|---|----------------|----------------|
| | First / Sole Holder | Second Holder | Third Holder |
| Sign of Client |  | NOT APPLICABLE | NOT APPLICABLE |
| Date | | Place- | |



Account Name : Mr. SWARAJ SHAILESH DARNE
 Address : N-202,VRINDAVAN CHS
 PLOT NO-52,SECTOR-9
 KHANDA COLONY,NEW PANVEL WEST-410206
 Raigarh
 Date : 20 Feb 2025
 Account Number : 00000035018779076
 Account Description : REGULAR SB CHQ-INDIVIDUALS
 Branch : NEW PANVEL (WEST) BRANCH
 Drawing Power : 0.00
 Interest Rate(% p.a.) : 2.7
 MOD Balance : 0.00
 CIF No. : 88524158231
 CKYCR Number :
 IFS Code :SBIN0015664
 (Indian Financial System)
 MICR Code : 400002306
 (Magnetic Ink Character Recognition)
 Nomination Registered : No
 Balance as on 1 Jan 2025 : 265.70

Account Statement from 1 Jan 2025 to 20 Feb 2025

| Txn Date | Value Date | Description | Ref No./Cheque No. | Debit | Credit | Balance |
|------------|------------|---|--|----------|----------|----------|
| 1 Jan 2025 | 1 Jan 2025 | TO TRANSFER- UPI/DR/536788851368/JAVED AK/INDB/bajajpay.6/UPI- | TRANSFER TO 4897693162093 | 200.00 | | 65.70 |
| 2 Jan 2025 | 2 Jan 2025 | BY TRANSFER- UPI/CR/500246008753/SHREE YOG/HDFC/shreeyogpa/shawa - | TRANSFER FROM 4897736162097 | | 90.00 | 155.70 |
| 2 Jan 2025 | 2 Jan 2025 | TO TRANSFER- UPI/DR/500217063147/DEEPA LI /IPOS/deepalijad/UPI- | TRANSFER TO 4897694162092 | 33.00 | | 122.70 |
| 3 Jan 2025 | 3 Jan 2025 | BY TRANSFER- UPI/CR/500319773915/HARVI NDE/UTIB/simimaan12/UPI- | TRANSFER FROM 4897737162096 | | 3,000.00 | 3,122.70 |
| 3 Jan 2025 | 3 Jan 2025 | BY TRANSFER-INB IMPS/500313311192/ybp- XX082-GROWW IN/IMPS- | MAPO001937852 35 MAPO001937852 35 | | 4,500.00 | 7,622.70 |
| 3 Jan 2025 | 3 Jan 2025 | TO TRANSFER- UPI/DR/500375491236/patnepr a/TJSB/patneprati/UPI- | TRANSFER TO 4897695162091 | 500.00 | | 7,122.70 |
| 3 Jan 2025 | 3 Jan 2025 | TO TRANSFER- UPI/DR/500369287811/sohamp 17/UBIN/sohamp1704/UPI- | TRANSFER TO 4897695162091 | 250.00 | | 6,872.70 |
| 3 Jan 2025 | 3 Jan 2025 | TO TRANSFER- UPI/DR/500369478734/SOHA M PR/UBIN/sohamp1704/UPI- | TRANSFER TO 4897695162091 | 15.00 | | 6,857.70 |
| 3 Jan 2025 | 3 Jan 2025 | TO TRANSFER- UPI/DR/500363896256/UPILIT E- | TRANSFER TO 4695809162097 | 1,000.00 | | 5,857.70 |
| 3 Jan 2025 | 3 Jan 2025 | TO TRANSFER- UPI/DR/500379768812/SOHA M PR/UBIN/sohamp1704/UPI- | TRANSFER TO 4897695162091 | 500.00 | | 5,357.70 |
| 3 Jan 2025 | 3 Jan 2025 | TO TRANSFER- UPI/DR/536902206338/SHAILE SH/SBIN/shaileshd7/UPI- | TRANSFER TO 4897695162091 | 1,500.00 | | 3,857.70 |

| Txn Date | Value Date | Description | Ref No./Cheque No. | Debit | Credit | Balance |
|-------------|-------------|--|-----------------------------|-----------|-----------|-----------|
| 4 Jan 2025 | 4 Jan 2025 | BY TRANSFER-NEFT*ICIC0099999*ICIN200409666197*NEXTBILLION TECH- | TRANSFER FROM 4697252044308 | | 307.80 | 4,165.50 |
| 7 Jan 2025 | 7 Jan 2025 | TO TRANSFER-UPI/DR/500721161250/AMAZON S/HDFC/amazonseil/UPI- | TRANSFER TO 4897692162094 | 799.00 | | 3,366.50 |
| 10 Jan 2025 | 10 Jan 2025 | TO TRANSFER-UPI/DR/537664392216/KIRTIKUM/ICIC/kirtikatr/eye t- | TRANSFER TO 4897695162091 | 1,450.00 | | 1,916.50 |
| 11 Jan 2025 | 11 Jan 2025 | TO TRANSFER-UPI/DR/537774219770/UPILITE- | TRANSFER TO 4695809162097 | 500.00 | | 1,416.50 |
| 12 Jan 2025 | 12 Jan 2025 | TO TRANSFER-UPI/DR/501206871047/THREEST/UTIB/gpay-11253/UPI- | TRANSFER TO 4897690162095 | 400.00 | | 1,016.50 |
| 12 Jan 2025 | 12 Jan 2025 | TO TRANSFER-UPI/DR/501207233516/Swaraj H/UTIB/gpay-11249/UPI- | TRANSFER TO 4897690162095 | 320.00 | | 696.50 |
| 13 Jan 2025 | 13 Jan 2025 | BY TRANSFER-UPI/CR/501369948122/SOHAM VI/HDFC/sohampatru/UPI- | TRANSFER FROM 4897733162090 | | 15,000.00 | 15,696.50 |
| 13 Jan 2025 | 13 Jan 2025 | TO TRANSFER-UPI/DR/501320236586/Zerodha /HDFC/zerodhabro/19790- | TRANSFER TO 4897691162095 | 2,000.00 | | 13,696.50 |
| 13 Jan 2025 | 13 Jan 2025 | TO TRANSFER-UPI/DR/501399024928/sohamp17/UBIN/sohamp1704/UPI- | TRANSFER TO 4897691162095 | 210.00 | | 13,486.50 |
| 13 Jan 2025 | 13 Jan 2025 | BY TRANSFER-UPI/CR/501357857556/SWATIMA/INDB/ramteke.sw/UPI- | TRANSFER FROM 4897733162090 | | 50.00 | 13,536.50 |
| 13 Jan 2025 | 13 Jan 2025 | TO TRANSFER-UPI/DR/501341488535/Ravikan t/YESB/paytmqr61v/UPI- | TRANSFER TO 4897691162095 | 150.00 | | 13,386.50 |
| 14 Jan 2025 | 14 Jan 2025 | DEBIT-ACHDr SRCB00007000016286 SRC51000000038- | | 12,500.00 | | 886.50 |
| 15 Jan 2025 | 15 Jan 2025 | TO TRANSFER-UPI/DR/501510228995/SHUBHM K/YESB/paytmqr1i3/UPI- | TRANSFER TO 4897693162093 | 140.00 | | 746.50 |
| 15 Jan 2025 | 15 Jan 2025 | TO TRANSFER-UPI/DR/501519622911/THANARAM/YESB/paytm.s136/UPI- | TRANSFER TO 4897693162093 | 20.00 | | 726.50 |
| 15 Jan 2025 | 15 Jan 2025 | BY TRANSFER-NEFT*HDFC0000001*HDFCH00008269829*BRIDGEVIEW MARIT- | TRANSFER FROM 4697200044308 | | 23,718.00 | 24,444.50 |
| 16 Jan 2025 | 16 Jan 2025 | BY TRANSFER-UPI/CR/501694252808/SOHAM PR/UBIN/sohamp1704/Petro- | TRANSFER FROM 4897736162097 | | 150.00 | 24,594.50 |
| 16 Jan 2025 | 16 Jan 2025 | TO TRANSFER-UPI/DR/501648957070/AADRIKA /YESB/paytmqr5yq/UPI- | TRANSFER TO 4897694162092 | 100.00 | | 24,494.50 |
| 16 Jan 2025 | 16 Jan 2025 | BY TRANSFER-UPI/CR/501631062666/DEEPA LI /IPOS/deepalijad/nasht- | TRANSFER FROM 4897736162097 | | 60.00 | 24,554.50 |
| 16 Jan 2025 | 16 Jan 2025 | TO TRANSFER-UPI/DR/501639971722/THE CAKE/YESB/bharatpe90/Pay T- | TRANSFER TO 4897694162092 | 600.00 | | 23,954.50 |
| 17 Jan 2025 | 17 Jan 2025 | TO TRANSFER-UPI/DR/538316913071/UPILITE- | TRANSFER TO 4695809162097 | 500.00 | | 23,454.50 |
| 17 Jan 2025 | 17 Jan 2025 | TO TRANSFER-UPI/DR/501758331889/SOHAM PR/UBIN/sohamp1704/lic p- | TRANSFER TO 4897695162091 | 16,743.00 | | 6,711.50 |
| 18 Jan 2025 | 18 Jan 2025 | TO TRANSFER-UPI/DR/501817220916/Roar Spo/AIRP/roarsports/RoarS- | TRANSFER TO 4897696162090 | 2,650.00 | | 4,061.50 |
| 18 Jan 2025 | 18 Jan 2025 | TO TRANSFER-UPI/DR/501887710944/SANDEP/YESB/q637395865/UPI- | TRANSFER TO 4897696162090 | 490.00 | | 3,571.50 |

| Txn Date | Value Date | Description | Ref No./Cheque No. | Debit | Credit | Balance |
|-------------|-------------|---|-----------------------------|-------------|-------------|-------------|
| 18 Jan 2025 | 18 Jan 2025 | BY TRANSFER- UPI/CR/501869511740/SOHA M PR/UBIN/sohamp1704/UPI- | TRANSFER FROM 4897738162095 | | 120.00 | 3,691.50 |
| 18 Jan 2025 | 18 Jan 2025 | BY TRANSFER- UPI/CR/501835416670/Akshad S/SBIN/akshadmali/UPI- | TRANSFER FROM 4897738162095 | | 230.00 | 3,921.50 |
| 18 Jan 2025 | 18 Jan 2025 | BY TRANSFER- UPI/CR/501823546459/SOHA M PR/UBIN/sohamp1704/Turf- | TRANSFER FROM 4897738162095 | | 240.00 | 4,161.50 |
| 19 Jan 2025 | 19 Jan 2025 | TO TRANSFER- UPI/DR/501971889466/sureshs p/UBIN/sureshspat/saadi- | TRANSFER TO 4897690162095 | 2,940.00 | | 1,221.50 |
| 20 Jan 2025 | 20 Jan 2025 | TO TRANSFER- UPI/DR/538695528726/UPILIT E- | TRANSFER TO 4695809162097 | 1,200.00 | | 21.50 |
| 20 Jan 2025 | 20 Jan 2025 | BY TRANSFER- UPI/CR/502005049971/IRCTC UTS/YESB/paytm-8796/expr- | TRANSFER FROM 4897733162090 | | 80.00 | 101.50 |
| 20 Jan 2025 | 20 Jan 2025 | BY TRANSFER- UPI/CR/538661337962/ANIKET A/BKID/aniketkule/UPI- | TRANSFER FROM 4897733162090 | | 1,500.00 | 1,601.50 |
| 20 Jan 2025 | 20 Jan 2025 | TO TRANSFER- UPI/DR/502093135094/VINOD NA/UBIN/vinodkakad/UPI- | TRANSFER TO 4897691162095 | 1,400.00 | | 201.50 |
| 20 Jan 2025 | 20 Jan 2025 | BY TRANSFER- UPI/CR/538683329844/KARUN A M/DLXB/chikanesam/UPI- | TRANSFER FROM 4897733162090 | | 240.00 | 441.50 |
| 21 Jan 2025 | 21 Jan 2025 | BY TRANSFER- UPI/CR/502114231996/SOHA M PR/UBIN/sohamp1704/UPI- | TRANSFER FROM 4897734162099 | | 240.00 | 681.50 |
| 21 Jan 2025 | 21 Jan 2025 | BY TRANSFER- UPI/CR/502186250402/SUMIT KU/BARB/8298186613/Sent- | TRANSFER FROM 4897734162099 | | 240.00 | 921.50 |
| 21 Jan 2025 | 21 Jan 2025 | BY TRANSFER- UPI/CR/502153892035/PANKA J Y/PUNB/py89481526/UPI- | TRANSFER FROM 4897734162099 | | 240.00 | 1,161.50 |
| 22 Jan 2025 | 22 Jan 2025 | BY TRANSFER- UPI/CR/834680040225/GOOG LE l/utib/goog-payme/UPI- | TRANSFER FROM 4897735162098 | | 33.00 | 1,194.50 |
| 22 Jan 2025 | 22 Jan 2025 | BY TRANSFER- UPI/CR/538807750898/DARNE SH/SRCB/shaileshd7/cidco- | TRANSFER FROM 4897735162098 | | 1,00,000.00 | 1,01,194.50 |
| 22 Jan 2025 | 22 Jan 2025 | BY TRANSFER- UPI/CR/502292839834/SHAILE SH/SBIN/shaileshd7/cidco- | TRANSFER FROM 4897735162098 | | 50,000.00 | 1,51,194.50 |
| 22 Jan 2025 | 22 Jan 2025 | BY TRANSFER- UPI/CR/502292909025/SHAILE SH/SBIN/shaileshd7/cidco- | TRANSFER FROM 4897735162098 | | 2,000.00 | 1,53,194.50 |
| 24 Jan 2025 | 24 Jan 2025 | TO TRANSFER- UPI/DR/502430341225/SOHA M VI/HDFC/sohampatru/cidco- | TRANSFER TO 4897695162091 | 1,00,000.00 | | 53,194.50 |
| 24 Jan 2025 | 24 Jan 2025 | TO TRANSFER- UPI/DR/502456035524/UPILIT E- | TRANSFER TO 4695809162097 | 500.00 | | 52,694.50 |
| 25 Jan 2025 | 25 Jan 2025 | TO TRANSFER- UPI/DR/539176404241/SOHA M VI/HDFC/sohampatru/cidco- | TRANSFER TO 4897696162090 | 50,000.00 | | 2,694.50 |
| 27 Jan 2025 | 27 Jan 2025 | TO TRANSFER- UPI/DR/502795273304/ICICI Ba/AIRP/icicibankf/ICICI- | TRANSFER TO 4897691162095 | 399.12 | | 2,295.38 |
| 27 Jan 2025 | 27 Jan 2025 | TO TRANSFER- UPI/DR/502795574082/HRIDA Y N/SBIN/anilkumar9/bike- | TRANSFER TO 4897691162095 | 1,500.00 | | 795.38 |
| 28 Jan 2025 | 28 Jan 2025 | BY TRANSFER- UPI/CR/539443955115/PATNE PR/TJSB/patneprati/UPI- | TRANSFER FROM 4897734162099 | | 500.00 | 1,295.38 |
| 28 Jan 2025 | 28 Jan 2025 | TO TRANSFER- UPI/DR/539471557653/AMAZO N S/HDFC/amazonseil/UPI- | TRANSFER TO 4897692162094 | 973.00 | | 322.38 |
| 29 Jan 2025 | 29 Jan 2025 | TO TRANSFER- UPI/DR/502931618763/JioCine ma/YESB/viacom18on/Subs- | TRANSFER TO 4897693162093 | 29.00 | | 293.38 |

| Txn Date | Value Date | Description | Ref No./Cheque No. | Debit | Credit | Balance |
|-------------|-------------|--|------------------------------------|-----------|-----------|-----------|
| 30 Jan 2025 | 30 Jan 2025 | BY TRANSFER- UPI/CR/539673900262/ANIKET A/BKID/aniketkule/UPI- | TRANSFER FROM 4897736162097 | | 500.00 | 793.38 |
| 30 Jan 2025 | 30 Jan 2025 | TO TRANSFER- UPI/DR/539663123494/SARTH AK /YESB/q865652376/UPI- | TRANSFER TO 4897694162092 | 187.00 | | 606.38 |
| 30 Jan 2025 | 30 Jan 2025 | TO TRANSFER- UPI/DR/539682525501/Shree CI/UTIB/9869457170/UPI- | TRANSFER TO 4897694162092 | 200.00 | | 406.38 |
| 31 Jan 2025 | 31 Jan 2025 | TO TRANSFER- UPI/DR/503124619592/IRCTC UTS/YESB/paytm-8796/UPI- | TRANSFER TO 4897695162091 | 120.00 | | 286.38 |
| 1 Feb 2025 | 1 Feb 2025 | TO TRANSFER- UPI/DR/503297220621/UPILIT E- | TRANSFER TO 4695809162097 | 200.00 | | 86.38 |
| 4 Feb 2025 | 4 Feb 2025 | BY TRANSFER- UPI/CR/503594073253/DEEPA LI /IPOS/deepalijad/UPI- | TRANSFER FROM 4897734162099 | | 20.00 | 106.38 |
| 4 Feb 2025 | 4 Feb 2025 | BY TRANSFER- UPI/CR/503528324819/SUSHM A P/BKID/sushmagaik/UPI- | TRANSFER FROM 4897734162099 | | 10.00 | 116.38 |
| 6 Feb 2025 | 6 Feb 2025 | TO TRANSFER- UPI/DR/503789568780/KAMLE SHD/YESB/q882669605/UPI- | TRANSFER TO 4897694162092 | 20.00 | | 96.38 |
| 6 Feb 2025 | 6 Feb 2025 | TO TRANSFER- UPI/DR/503731938047/SANDE EPS/UTIB/8657569754/UPI- | TRANSFER TO 4897694162092 | 20.00 | | 76.38 |
| 7 Feb 2025 | 7 Feb 2025 | TO TRANSFER- UPI/DR/540493360210/APOLL O P/YESB/apollophar/Payme- | TRANSFER TO 4897695162091 | 63.75 | | 12.63 |
| 8 Feb 2025 | 8 Feb 2025 | BY TRANSFER- UPI/CR/540593180116/PATNE PR/TJSB/patneprati/UPI- | TRANSFER FROM 4897738162095 | | 30.00 | 42.63 |
| 8 Feb 2025 | 8 Feb 2025 | TO TRANSFER- UPI/DR/503984972270/IRCTC UTS/YESB/paytm-8796/UPI- | TRANSFER TO 4897696162090 | 30.00 | | 12.63 |
| 10 Feb 2025 | 10 Feb 2025 | BY TRANSFER-INB IMPS/504109117492/ybp-XX164-ZERODHA /49b114d2a- | MALO00187135633 MALO00187135633 | | 3,000.00 | 3,012.63 |
| 10 Feb 2025 | 10 Feb 2025 | TO TRANSFER- UPI/DR/540794689940/SWAP NIL /HDFC/swapnil322/xray- | TRANSFER TO 4897691162095 | 800.00 | | 2,212.63 |
| 11 Feb 2025 | 11 Feb 2025 | BY TRANSFER-INB IMPS/504212789849/kmb-XX470-Globe Ca/pennyDrop- | MAOO00240552551 MAOO00240552551 | | 1.00 | 2,213.63 |
| 11 Feb 2025 | 11 Feb 2025 | TO TRANSFER- UPI/DR/504251354807/SARTH AK /YESB/q865652376/UPI- | TRANSFER TO 4897692162094 | 222.00 | | 1,991.63 |
| 12 Feb 2025 | 12 Feb 2025 | TO TRANSFER- SBIYA25043072400315196893 -PAIRenewal- | TRANSFER TO 35725329806 | 500.00 | | 1,491.63 |
| 12 Feb 2025 | 12 Feb 2025 | BY TRANSFER- NEFT*HDFC00000001*HDFCH0 0060848722*BRIDGEVIEW MARIT- | TRANSFER FROM 4697220044305 | | 24,646.00 | 26,137.63 |
| 12 Feb 2025 | 12 Feb 2025 | TO TRANSFER- UPI/DR/540992442136/UPILIT E- | TRANSFER TO 4695809162097 | 1,000.00 | | 25,137.63 |
| 14 Feb 2025 | 14 Feb 2025 | DEBIT-ACHDr SRCB00007000016286 SRC51000000038- | | 12,500.00 | | 12,637.63 |
| 14 Feb 2025 | 14 Feb 2025 | BY TRANSFER- UPI/CR/504529158951/SOHA M PR/UBIN/sohamp1704/UPI- | TRANSFER FROM 4897737162096 | | 220.00 | 12,857.63 |
| 17 Feb 2025 | 17 Feb 2025 | TO TRANSFER- UPI/DR/504891537712/Amazo n Pay/UTIB/amazonupi@/You- | TRANSFER TO 4897691162095 | 599.00 | | 12,258.63 |
| 17 Feb 2025 | 17 Feb 2025 | TO TRANSFER- UPI/DR/541436119466/Burger K/ICIC/burgerking/UPI- | TRANSFER TO 4897691162095 | 1,244.40 | | 11,014.23 |

| Txn Date | Value Date | Description | Ref No./Cheque No. | Debit | Credit | Balance |
|-----------------|-------------------|--|------------------------------------|--------------|---------------|----------------|
| 17 Feb 2025 | 17 Feb 2025 | TO TRANSFER- UPI/DR/541427965035/SHABARI /YESB/paytmqr192/dinne- | TRANSFER TO 4897691162095 | 299.00 | | 10,715.23 |
| 19 Feb 2025 | 19 Feb 2025 | TO TRANSFER- UPI/DR/505044873555/KHETAL F/YESB/q946641918/kurta- | TRANSFER TO 4897693162093 | 4,450.00 | | 6,265.23 |
| 19 Feb 2025 | 19 Feb 2025 | TO TRANSFER- UPI/DR/505098086708/jarikala/UTIB/9960800671/saree- | TRANSFER TO 4897693162093 | 750.00 | | 5,515.23 |
| 19 Feb 2025 | 19 Feb 2025 | TO TRANSFER- UPI/DR/505029896830/SHRIKANT/BKID/boim-00354/Merch- | TRANSFER TO 4897693162093 | 40.00 | | 5,475.23 |
| 19 Feb 2025 | 19 Feb 2025 | BY TRANSFER- UPI/CR/863811260505/Amazon P/UTIB/amazon.ref/Refun- | TRANSFER FROM 4897735162098 | | 599.00 | 6,074.23 |
| 19 Feb 2025 | 19 Feb 2025 | TO TRANSFER- UPI/DR/505066125910/ADIL/YESB/paytmqr68t/UPI- | TRANSFER TO 4897693162093 | 150.00 | | 5,924.23 |
| 20 Feb 2025 | 20 Feb 2025 | TO TRANSFER- UPI/DR/541793444560/ROSMERTA/UTIB/rosmertasa/BookM- | TRANSFER TO 4897694162092 | 531.31 | | 5,392.92 |
| 20 Feb 2025 | 20 Feb 2025 | BY TRANSFER-INB IMPS/505100404935/IDF-XX432-PERFIOS /razorpayB- | MAOO00244183607 MAOO00244183607 | | 1.00 | 5,393.92 |

Please do not share your ATM, Debit/Credit card number, PIN (Personal Identification Number) and OTP (One Time Password) with anyone over mail, SMS, phone call or any other media. Bank never asks for such information.

**This is a computer generated statement and does not require a signature.



आयकर विभाग
INCOME TAX DEPARTMENT



भारत सरकार
GOVT. OF INDIA



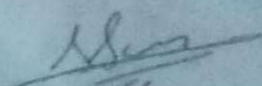
स्थायी लेखा संख्या कार्ड
Permanent Account Number Card
CVPPD2719F



नाम / Name
SWARAJ SHAILESH DARNE

पिता का नाम / Father's Name
SHAILESH NARAYAN DARNE

जन्म की तारीख / Date of Birth
09/12/1998


हस्ताक्षर / Signature



Shan